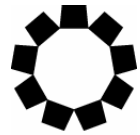


# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



ABAG

## AGENDA

### **ABAG EXECUTIVE BOARD MEETING NO. 402**

Thursday, September 18, 2014, 7:00 PM

Location:

Joseph P. Bort MetroCenter  
Lawrence D. Dahms Auditorium  
101 8<sup>th</sup> Street  
Oakland, California

*The ABAG Executive Board may act on any item on this agenda.*

*Agenda and attachments available at [abag.ca.gov](http://abag.ca.gov)*

*For information, contact Fred Castro, Clerk of the Board, at (510) 464 7913.*

#### **1. CALL TO ORDER AND PLEDGE OF ALLEGIANCE**

#### **2. PUBLIC COMMENT**

Information

#### **3. ANNOUNCEMENTS**

Information

#### **4. PRESIDENT'S REPORT**

Information

#### **5. EXECUTIVE DIRECTOR'S REPORT**

Information

#### **6. CONSENT CALENDAR**

ACTION. Unless there is a request by an Executive Board member to take up an item on the consent calendar separately, the calendar will be acted upon in one motion.

##### **A. Approval of Executive Board Summary Minutes of Meeting No. 401 held on July 17, 2014**

*Attachment: Summary Minutes of July 17, 2014*

**B. Approval of Transmission of Federal Grant Applications to State Clearinghouse**

With Executive Board consent, ABAG will transmit the attached list of federal grant applications to the State Clearinghouse. These applications were circulated in ABAG's Intergovernmental Review Newsletter since the last Executive Board meeting.

*Attachment: Grant Applications*

**C. Ratification of Submittal of Proposal to the Department of Energy for HVAC Permit Program by the Bay Area Regional Energy Network (BayREN)**

The Executive Board is requested to ratify the proposal submitted to the Department of Energy for 2015-2016 funding for the BayREN in the amount of \$300,000, and authorize the ABAG Executive Director to enter negotiations and execute the necessary agreements for acceptance of the approved funding and implementation of the BayREN HVAC Pilot Program.

*Attachments: Bay Area Regional Energy Network; HVAC Pilot Program Summary*

**D. Authorization to Enter into Contract Agreements with Local Project Sponsors for Nineteen (19) Bay Area Integrated Regional Water Management Projects**

The Executive Board is requested to authorize the Executive Director, or his designee, to enter into contract agreements on behalf of ABAG with the Local Project Sponsors to implement the above referenced projects. The San Francisco Estuary Partnership and ABAG will provide overall grant management services for the DWR grant which extends from the award date of February 4, 2014 through completion date of December 31, 2018.

*Attachment: Bay Area IRWM Projects*

**E. Authorization to Enter into a Contract with USGS to Provide Support for the Bay-Delta Science Program Biennial Science Conference**

The Executive Board is requested to authorize the Executive Director, or his designee, to enter into a contract amendment on behalf of ABAG/SFEP with the USGS for support services on the 2010 Bay-Delta Science Conference. The contract amount will not exceed \$140,000.

*Attachment: Bay-Delta Science Program Biennial Science Conference*

**F. Authorization to Amend Contract Agreement with Customized Performance, Inc. for Janitorial Services**

The Executive Board is requested to authorize the Executive Director, or his designee, to amend the agreement with Customized Performance, Inc., to extend the contract period from July 1, 2014 through June 30, 2015 and for additional months thereafter corresponding to ABAG's occupancy of its office space. The annual cost for janitorial services from July 2014 through June 2015 at \$2,750.44 per month is \$33,005.28, and the cost from July 2015 through December 2015 is \$16,502.64.

*Attachment: Janitorial Services*

**7. PRIORITY DEVELOPMENT AREA IMPLEMENTATION SHOWCASE—CITY OF PETALUMA**

Information. Duane Bay, ABAG Deputy Planning Director, will introduce the Priority Development Area Implementation Showcase of the City of Petaluma. David Rabbitt, Supervisor, County of Sonoma, and ABAG Vice President, will highlight the use of a form-based 'SmartCode' in the city's Priority Development Area (PDA).

*Attachment: PDA Showcase Petaluma*

**8. BACKGROUND ON PROPOSED WATER BOND PROPOSITION 1**

Information. Brad Paul, ABAG Deputy Executive Director, will report on the proposed Water Bond Proposition 1 and introduce John Coleman, Executive Director, Bay Planning Coalition, who will give a presentation on the Water Bond which is on the November ballot.

*Attachment: Proposed Water Bond*

**9. RESILIENCE ISSUES RELATED TO SOUTH NAPA EARTHQUAKE**

Information. Danielle Hutchings Mieler, ABAG Earthquake and Hazards Program Coordinator, will report on the impacts of the recent earthquake affecting Napa and Solano counties and describe ABAG's response to the event. A panel of elected officials from Napa and Solano Counties and the city manager of the City of Napa will describe their experiences with response and recovery from the earthquake and identify additional ways ABAG can support the recovery effort.

*Attachment: South Napa Earthquake*

**10. LEGISLATION AND GOVERNMENTAL ORGANIZATION COMMITTEE REPORT**

Information/ACTION. Committee Chair Scott Haggerty, Supervisor, County of Alameda, will report on Committee activities and request Executive Board approval of Committee recommendations.

*Attachments: LGO Committee Agenda; Legislative Summary*

**11. FINANCE AND PERSONNEL COMMITTEE REPORT**

Information/ACTION. Committee Chair Bill Harrison, Mayor, City of Fremont, will report on Committee activities and request Executive Board approval of Committee recommendations.

*Attachments: FP Committee Agenda; Summary Minutes; Financial Reports June 2014; Financial Reports July 2014; Resolution No. 16-14; Closing Deficit*

**12. CLOSED SESSION**

**A. Conference with Labor Negotiators**

Agency designated representatives: Brian Kirking, ABAG Information Technology/Human Resources Director; Brad Paul, ABAG Deputy Executive Director; and Herb Pike, ABAG Finance Director

Employee organization: SEIU Local 1021

**B. Public Employee Performance Evaluation**

Title: Legal Counsel

**13. REPORT OUT OF CLOSED SESSION**

**ABAG Executive Board**

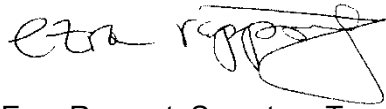
September 18, 2014

4

**14. ADJOURNMENT**

The next meeting of the Executive Board will be announced.

Submitted:

A handwritten signature in black ink, appearing to read "Ezra Rapport", with a large, stylized flourish extending from the end.

Ezra Rapport, Secretary-Treasurer

Date Submitted: September 9, 2014

Date Posted: September 10, 2014

# SUMMARY MINUTES (DRAFT)

ABAG Executive Board Meeting No. 401  
Thursday, July 17, 2014  
Joseph P. Bort MetroCenter  
101 8<sup>th</sup> Street, Oakland, California

## 1. CALL TO ORDER AND PLEDGE OF ALLEGIANCE

President Julie Pierce, Councilmember, City of Clayton, called the meeting of the Executive Board of the Association of Bay Area Governments to order at about 7:05 p.m.

President Pierce led the Board and the public in the Pledge of Allegiance.

President Pierce welcomed Candace Anderson, Supervisor, County of Contra Costa; Greg Scharff, Mayor, City of Palo Alto; Dan Kalb, Councilmember, City of Oakland; and Jim Davis, Vice Mayor, City of Sunnyvale.

A quorum of the Board was present.

### **Representatives and Alternates Present    Jurisdiction**

|                                      |                             |
|--------------------------------------|-----------------------------|
| Supervisor Candace Andersen          | County of Contra Costa      |
| Councilmember Desley Brooks          | City of Oakland             |
| Supervisor David Cortese             | County of Santa Clara       |
| Vice Mayor Jim Davis                 | City of Sunnyvale           |
| Dep Dir Tamsen Drew, Leg/Gov Affairs | City of San Francisco       |
| Councilmember Pat Eklund             | City of Novato              |
| Mayor Leon Garcia                    | City of American Canyon     |
| Councilmember Pradeep Gupta          | City of South San Francisco |
| Supervisor Scott Haggerty            | County of Alameda           |
| Mayor Bill Harrison                  | City of Fremont             |
| Vice Mayor Dave Hudson               | City of San Ramon           |
| Councilmember Dan Kalb               | City of Oakland             |
| Councilmember Sam Liccardo           | City of San Jose            |
| Supervisor Mark Luce                 | County of Napa              |
| Supervisor Eric Mar                  | County of San Francisco     |
| Supervisor Karen Mitchoff            | Count of Contra Costa       |
| Mayor Mary Ann Nihart                | City of Pacifica            |
| Councilmember Julie Pierce           | City of Clayton             |
| Supervisor Dave Pine                 | County of San Mateo         |
| Supervisor Katie Rice                | County of Marin             |
| Mayor Greg Scharff                   | City of Palo Alto           |
| Supervisor Richard Valle             | County of Alameda           |

### **Representatives Absent**

Mayor Jack Batchelor  
Supervisor Cindy Chavez  
Councilmember Kansen Chu  
Dir Jason Elliott, Leg/Gov Affairs  
Councilmember Ash Kalra  
Supervisor Jane Kim

### **Jurisdiction**

City of Dixon  
County of Santa Clara  
City of San Jose  
City of San Francisco  
City of San Jose  
County of San Francisco

Director William Kissinger  
Councilmember Jake Mackenzie  
Mayor Jean Quan  
Supervisor David Rabbitt  
Supervisor Linda Seifert  
Supervisor Warren Slocum  
Mayor Jerry Thorne

RWQCB  
City of Rohnert Park  
City of Oakland  
County of Sonoma  
County of Solano  
County of San Mateo  
City of Pleasanton

## **2. PUBLIC COMMENT**

There were no public comments.

## **3. ANNOUNCEMENTS**

Pat Eklund, Councilmember, City of Novato, commended President Pierce on her leadership in having SB 792 amended. She announced an upcoming meeting of ABAG General Assembly Delegates from Marin County. She introduced Jessica Jackson, Councilmember, City of Mill Valley, as the Alternate from the cities and towns in the County of Marin.

Bill Harrison, Mayor, City of Fremont, announced an upcoming event marking the start of the Fremont Downtown Plan.

There were no other announcements.

## **4. PRESIDENT'S REPORT**

President Pierce reported on the following:

A meeting on May 27, 2014, with John Laird, Secretary, Natural Resources Agency; Karla Nemeth, Project Director, Bay Delta Conservation Plan; and Felicia Marcus, Chair, and Steven Moore, Board member, State Water Resources Control Board, on behalf of the San Francisco Estuary Partnership regarding the San Francisco Estuary and continuing adequate fresh water flows for a healthy estuary. Meeting participants included Steve Kinsey, Supervisor, County of Marin; Mark Luce, Supervisor, County of Napa; Karen Mitchoff, Supervisor, County of Contra Costa; Mary Piepho, Supervisor, County of Contra Costa; Susan Gorin, Supervisor, County of Sonoma; Judy Kelly, Director, San Francisco Estuary Partnership; and President Pierce. President Pierce encouraged jurisdictions whose water source is the Delta to support by resolution to improve fresh water flows.

The Friends of the San Francisco Estuary will have its *Bay+Delta+Water: Better Together, A Conference on Fresh Water in Our Bay-Delta Estuary* on September 24, 2014.

Fundraising on behalf of the San Francisco Bay Trail Project at various local events has raised \$27,000. Bay Trail events are being scheduled in Santa Clara County, Napa County, and Vallejo and Benicia.

## **5. EXECUTIVE DIRECTOR'S REPORT**

Executive Director Rapport reported on the following:

Ayana Dominguez, an 18-year-old recent graduate of Berkeley Technical Academy and ABAG intern working with Communications, was gunned down and killed on July 10, 2014 in a Wendy's restaurant parking lot in the Fruitvale district of East

Oakland. He requested that the Executive Board adjourn its meeting in memory of Ayana Dominguez.

**6. CONSENT CALENDAR**

President Pierce removed the appointment of Pat Eklund, Councilmember, City of Novato, to the Finance and Personnel Committee as At-Large Alternate, from Item 6.C., due to pending updates to the committee assignments.

President Pierce recognized a motion by Karen Mitchoff, Supervisor, County of Contra Costa, which was seconded by Dave Hudson, Vice Mayor, City of San Ramon, to approve the Consent Calendar, except for the appointment of Pat Eklund, Councilmember, City of Novato, to the Finance and Personnel Committee under Item 6.C.

There was no discussion.

The aye votes were: Andersen, Brooks, Cortese, Davis, Drew, Eklund, Garcia, Gupta, Haggerty, Harrison, Hudson, Kalb, Liccardo, Luce, Mar, Mitchoff, Pierce, Pine, Rice, Scharff, Valle.

The nay votes were: None.

Abstentions were: None.

Absent were: Batchelor, Chavez, Chu, Elliott, Kalra, Kim, Kissinger, Mackenzie, Nihart, Quan, Rabbitt, Seifert, Slocum, Thorne.

The motion passed unanimously.

**A. Approval of Executive Board Summary Minutes of Meeting No. 400 held on May 15, 2014**

**B. Approval of Transmission of Federal Grant Applications to State Clearinghouse**

The Executive Board consented to ABAG transmitting an attached list of federal grant applications to the State Clearinghouse. These applications were circulated in ABAG's Intergovernmental Review Newsletter since the last Executive Board meeting.

**C. Ratification of Committee Appointments**

The Executive Board ratified the following committee appointment.

Regional Planning Committee

Martin Engelmann, Deputy Director for Planning, Contra Costa Transportation Authority (replaces Linda Jackson, Planning Director, Transportation Authority of Marin)

**D. Adoption of Resolution No. 10-14 Authorizing Submitting Grant Application and Entering into Contract with the Metropolitan Transportation Commission (MTC) for Bridge Toll Funds to Support the San Francisco Bay Trail Project**

The Executive Board adopted Resolution No. 10-14.

**E. Ratification of Application to US Environmental Protection Agency (EPA) for FY 2014-2015 National Estuary Program Funding**

The Executive Board ratified the application to US Environmental Protection Agency for FY 2014-15 National Estuary Program funding.

**F. Ratification of Application to US Environmental Protection Agency (EPA) for Suisun Marsh Water Quality Objectives Attainment: Source Reduction Field Testing Project**

The Executive Board ratified the application to US Environmental Protection Agency for Suisun Marsh Water Quality Objectives Attainment: Source Reduction Field Testing Project.

**G. Ratification of Application to US Environmental Protection Agency (EPA) for Urban Greening Bay Area: LID Planning, Implementation and Tracking**

The Executive Board ratified the application to US Environmental Protection Agency for Urban Greening Bay Area: LID Planning, Implementation and Tracking.

**H. Ratification of Amendment to the Interagency Agreement with the County of Marin to Provide Technical Support for Permit Writing**

The Executive Board ratified the amendment to the Interagency Agreement with the County of Marin to provide technical support for permit writing.

**I. Adoption of Resolution No. 11-14 Authorizing Entering into and Executing a Cooperating Technical Partners Partnership Agreement with the Federal Emergency Management Agency (FEMA)**

The Executive Board adopted Resolution No. 11-14 authorizing entering into and executing a cooperating technical partners partnership agreement with the Federal Emergency Management Agency.

**J. Adoption of Resolution No. 13-14 Authorizing Executing a License Agreement with Regional Economic Models, Inc. (REMI), and to Accept Funds from ABAG Finance Authority for Non-Profits (FAN) for License Fees**

The Executive Board Adopted Resolution No. 13-14 authorizing executing a license agreement with Regional Economic Models, Inc., and to accept funds from ABAG Finance Authority for Non-Profit Corporations for license fees.

The Executive Board next took up agenda Item 9, PDA Showcase—City of San Leandro.

**7. REVISIONS TO PRIORITY CONSERVATION AREA CRITERIA**

Mark Shorett, ABAG Regional Planner, and Laura Thompson, Bay Trail Project Manager, provided an overview of the Priority Conservation Area (PCA), proposed changes recommended by the Regional Planning Committee, and a timeline.

Staff requested Executive Board adoption of Resolution No. 12-14, including revised PCA program criteria, designations, process for new PCA approvals, process for



confirming existing PCAs, and statement of support for expanding funding for the PCA program.

President Pierce recognized a motion by Mark Luce, Supervisor, County of Napa, which was seconded by Dave Hudson, Vice Mayor, City of San Ramon, to adopt Resolution No. 12-14, with the staff memo on Priority Conservation Area (PCA) Program Update attached.

Members discussed the role of local jurisdictions in approving applications, funding parity, land use control, reaffirming existing PCAs, and essential criteria; creation of PCA program and support of PDA process by North Bay counties; urban greening; lead PCA agency; designations and future funding, future urban park funds.

The following individuals provided public comment on Item 7: Jenn Fox, Bay Area Open Space Council; John Sutter, East Bay Regional Park District; Melissa Hippard, Greenbelt Alliance.

President Pierce recognized a friendly amendment by Dave Pine, Supervisor, County of San Mateo, to change the staff memo on Priority Conservation Area (PCA) Program Update, on page 4, Future Funding, as follows: "... b) prioritize future urban parks funds for projects...." The friendly amendment was accepted by Luce and Hudson.

Members discussed prioritizing future funding categories, support for expanding future funding for the PCA program, attaching staff memorandum, as amended, to the resolution.

There was no other discussion.

The aye votes were: Andersen, Cortese, Davis, Drew, Eklund, Garcia, Gupta, Haggerty, Harrison, Hudson, Kalb, Luce, Mar, Mitchoff, Nihart, Pierce, Pine, Rice, Scharff, Valle.

The nay votes were: None.

Abstentions were: None.

Absent were: Batchelor, Brooks, Chavez, Chu, Elliott, Kalra, Kim, Kissinger, Liccardo, Mackenzie, Quan, Rabbitt, Seifert, Slocum, Thorne.

The motion passed unanimously.

## **8. PLAN BAY AREA 2017: OVERVIEW OF TASKS AND TIMELINE**

Miriam Chion, ABAG Planning and Research Director, presented an overview of the upcoming tasks related to the 2017 Update of Plan Bay Area, including a process review, update focus, new initiatives and projects, integration of other regional agency initiatives, and settlement agreements requirements.

Members discussed considering fixed assumptions earlier, changes to forecasts data, starting process earlier to have more review time, projections data, engaging General Assembly, technical advisory committee, including elected officials and community input, collaboration process, county-wide input.

## **9. PDA SHOWCASE—DOWNTOWN SAN LEANDRO**

Duane Bay, ABAG Planning and Research Deputy Director, introduced a presentation on San Leandro's Downtown Priority Development Area (PDA).

Tom Liao, Deputy Community Development Director, City of San Leandro, presented challenges and opportunities in the San Leandro Downtown Priority Development Area, including a review of the strategy and goals, a review of the original Crossings Masterplan, challenges and responses to The Crossings, review the Cornerstone Apartments, review of the Downtown Technology Campus, public-private partnership, and opportunities and next steps.

Members discussed fiber optics technology, parking requirements and reduced parking, Bus Rapid Transit connection.

#### **10. LEGISLATION AND GOVERNMENTAL ORGANIZATION COMMITTEE REPORT**

Committee Chair Scott Haggerty, Supervisor, County of Alameda, reported on Committee activities and requested Executive Board approval of committee recommendations, including the following: legislation proposed for 2014 Legislation Session: AB 2372 (Ammiano), Change in Ownership, Support; SB 53 (de Leon), California Ammunition Safe Sales Systems, Support; AB 2748 (Environmental Safety and Toxic Material Committee), Hazardous Waste: Business Plan, Support; AB 2284 (Williams), Recycling of Household Batteries, Watch; SB 270 (Padilla), Solid Waste: Single-use Carryout Bags, Suspense File; AB 1893 (Eggman and Stone), Home-generated Sharps Management, Stricken from Legislative File; SB 792 (DeSaulnier), Regional Entities San Francisco Bay Area, Oppose; AB 2145 (Bradford), Electricity—Community Choice Aggregation, Oppose; AB 1537 (Levine), General Plan Housing Element—Regional Housing Need, Support; SB 1184 (Hancock), San Francisco Bay Conservation and Development Commission: Sea Level Rise—Regional Resilience Strategy, Support; SB 1077 (DeSaulnier), Vehicles: Mileage-based Fee Pilot Program, Support; briefing on the Budget Proposed and Budget Trailer Bills; report on draft federal legislation, PREPARE Act: Preparedness and Risk Management for Extreme Weather Patterns Assuring Resilience (Matt Cartwright); report on Bay Area Commuter Benefits Program; report on House Resolution HR 29: Relative to Outsourcing Public Services; and recommendation to adopt policy on taking positions between Executive Board and Legislation and Governmental Organization Committee bimonthly meetings.

President Pierce recognized a motion by Haggerty, which was seconded by Eklund, to approve the committee report.

There was no discussion.

The aye votes were: Andersen, Cortese, Davis, Drew, Eklund, Garcia, Gupta, Haggerty, Harrison, Hudson, Kalb, Luce, Mitchoff, Nihart, Pierce, Pine, Rice, Scharff.

The nay votes were: None.

Abstentions were: None.

Absent were: Batchelor, Brooks, Chavez, Chu, Elliott, Kalra, Kim, Kissinger, Liccardo, Mackenzie, Mar, Quan, Rabbitt, Seifert, Slocum, Thorne, Valle.

The motion passed unanimously.

#### **11. FINANCE AND PERSONNEL COMMITTEE REPORT**

Committee Chair Bill Harrison, Mayor, City of Fremont, reported on Committee activities and requested Executive Board approval of committee recommendations, including the following: presentation and review of Financial Reports for Fiscal Year through May 2014; recommendation to adopt Resolution No. 14-14, fixing the Association of Bay Area Governments contribution under the Public Employees Medial and Hospital Act for Employer, Code 1642; report on revised vendor form; briefing on process and schedule for Public Employee Performance Evaluation: Legal Counsel; recommendation to adopt Resolution 15-14, to approve an amended agreement to allow for re-financing the agency's Windemere Ranch Capital Financing Program Debt; report on ABAG PLAN Board decision to contract out claims processing services and its associated impacts; closed session on Public Employee Performance Evaluation: Executive Director with no reportable action out of closed session.

President Pierce recognized a motion by Harrison, which was seconded by Mitchoff, to approve the committee report, including adoption of Resolution No. 14-14 and Resolution No. 15-14.

There was no discussion.

The aye votes were: Andersen, Cortese, Davis, Drew, Eklund, Garcia, Gupta, Haggerty, Harrison, Hudson, Kalb, Luce, Mitchoff, Nihart, Pierce, Pine, Rice, Scharff.

The nay votes were: None.

Abstentions were: None.

Absent were: Batchelor, Brooks, Chavez, Chu, Elliott, Kalra, Kim, Kissinger, Liccardo, Mackenzie, Mar, Quan, Rabbitt, Seifert, Slocum, Thorne, Valle.

The motion passed unanimously.

## **12. ADJOURNMENT**

President Pierce adjourned the meeting of the Executive Board at about 9:26 p.m. in memory of Ayana Dominguez who was a victim of gun violence on July 10, 2014.

The next meeting of the Board will be on September 18, 2014.

Submitted:



Ezra Rapport, Secretary-Treasurer

Date: August 21, 2014

Approved:

*For information or to review audio recordings of ABAG Executive Board meetings, contact Fred Castro, Clerk of the Board, at (510) 464 7913 or [FredC@abag.ca.gov](mailto:FredC@abag.ca.gov).*

**Summary Minutes (Draft)**

ABAG Executive Board Meeting No. 401

Thursday, July 17, 2014

8

**Association of Bay Area Governments  
Executive Board  
Saturday, August 30, 2014  
Project Review**

**3.1 Federal Grant Applications Being Transmitted to the State Clearinghouse**

**The following federal grant applications which have been transmitted to the state clearinghouse by the applicants, have been entered into the regional clearinghouse by ABAG staff. These applications were circulated in ABAG's Intergovernmental Review Newsletter since the last Executive Board meeting. No comments were received on these projects. If the Executive Board wishes to take a position on any of these projects, it should so instruct the staff.**

**ALAMEDA COUNTY**

Applicant: City of Oakland Planning Department  
Program:  
Project: Home Maintenance & Improvement Program  
Description: On or about August 12, 2014 the City of Oakland will submit a request to the US Dept. of Housing and Urban Development/State of California for the release of Community Development Block Grant funds under the U.S. Department of Housing and Urban Development (HUD), Community Planning and Development CPD, Title 1 of the Housing and Community Development Act of 1974, Public Law 93-383, as amended; 42 U.S.C. 5301 et seq. to undertake a project known as the Home Maintenance & Improvement Program. The purpose of the program is to rehabilitate selected single-family residences throughout the city, installing health and safety related repairs and general property improvements. During the period July 2013 to July 2018 approximately \$6,076,410 will be used to provide loans to rehabilitate approximately 2000 homes.

|       |       |           |        |
|-------|-------|-----------|--------|
| Cost: | Total | Federal   | State: |
|       |       | Applicant | Local  |
|       |       |           | Other  |

Contact: Elois Thornton (510) 238-6284  
ABAG Clearinghouse Number 16095

**SAN MATEO COUNTY**

Applicant: San Mateo Transit District  
Program:  
Project: San Mateo County Transit District's Federal Transit Administration grant amendment CA 57X073-01  
Description: This grant requests \$ 314,126 in federal funds for Peninsula Rides Implementation and Development, Peninsula Rides Mobility Management Activities, and Peninsula Rides Operating Activities.

|       |       |              |           |              |        |
|-------|-------|--------------|-----------|--------------|--------|
| Cost: | Total | \$431,658.00 | Federal   | \$314,126.00 | State: |
|       |       |              | Applicant |              | Local  |
|       |       |              |           |              | Other  |

Contact: Rebecca Arthur (650) 508-6368  
ABAG Clearinghouse Number 16117

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# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



Date: August 27, 2014

To: ABAG Executive Board

From: Gerald Lahr, Energy Programs Manager  
Daniel Hamilton, BayREN Codes and Standards Program Manager

Subject: **Bay Area Regional Energy Network Pilot HVAC Permit Program**

## Executive Summary

Ratify the submittal of the proposal to the United States Department of Energy ("DOE") for funding of a pilot HVAC Permit Program under the San Francisco Bay Area Regional Energy Network ("BayREN") in 2015-2016 in the amount of \$300,000, and authorize the ABAG Executive Director to enter negotiations and execute the necessary agreements for acceptance of the approved funding and implementation of the proposed pilot program with the California Energy Commission and DOE.

## Background

On April 30, 2014 the DOE issued a Funding Opportunity Announcement for State Energy Program funds to be used in furthering statewide energy efficiency goals. The California Energy Commission ("CEC") and ABAG began discussions on a joint proposal to apply for these funds with the target of increasing the frequency in obtaining legally-required building permits for HVAC systems. The two agencies worked together to develop a pilot program in ABAG territory that would seek to improve the energy efficiency of HVAC change out projects in the City of San Ramon. The CEC/ABAG application covers the funding period 2015-2016, and includes a request of DOE for \$300,000 and \$60,000 in matching funds from the CEC. This pilot would be carried out under the general direction of the existing San Francisco Bay Area Regional Energy Network (BayREN) program, for which ABAG serves as the lead administrator.

## Recommended Action

ABAG requests that the ABAG Executive Committee ratify the proposal submitted to the DOE for 2015-2016 funding for the BayREN in the amount of \$300,000, and authorize the ABAG Executive Director to enter negotiations and execute the necessary agreements for acceptance of the approved funding and implementation of the BayREN HVAC Pilot Program.

## Attachment

BayREN HVAC Pilot Program Summary

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**ATTACHMENT 1**  
**BayREN HVAC Pilot Program Summary**

**Department of Energy EERE FOA: DE-FOA-0001073**  
**State Energy Program 2014 Competitive Awards**

***Application Deadline: 30 June 2014***

***Award Notice: 24 September 2014***

**Grant Priority**

This SEP Grant seeks applications to advance policies, programs, or market strategies to conserve energy while improving energy and climate security, economic development, and support for DOE goals. Area of Interest 2 prioritizes innovative opportunities for efficiency best practices tailored to local conditions and that builds upon the successes of earlier DOE investments in technologies, codes, and processes. Topic of Emphasis 5 is specific to supporting partnerships with Local Governments, and seeks to assist cities with their ability to design and implement effective EE strategies in areas of critical need.

**The Problem**

Inefficient heating and cooling systems are among the largest energy users in the residential sector. California, through both the CEC and CPUC, has prioritized performance improvement of these systems as one of its Big Bold Energy Efficiency Strategies (“Heating, Ventilation and Air Conditioning will be transformed to ensure that its energy performance is optimal for California’s climate”). Despite effective standards for the design, installation, and verification of these systems, compliance with these standards is exceptionally low, with estimates ranging from five percent (Contractors State Licensing Board) to 10 percent (California Energy Commission) for replacement systems. Only through the local government permitting process can these standards be ensured and enforced. While local government resource constraints make proactive enforcement difficult, the fundamental causes of permit avoidance are the lack of customer expectations and short-term economic decision making.

**The Solution**

The CEC will facilitate higher performance HVAC systems through a progressive and targeted campaign to increase permitting of residential HVAC installations, thus increasing compliance with applicable energy standards. The comprehensive HVAC Compliance Enhancement Pilot would utilize the experience of the Bay Area Regional Energy Network (BayREN) to launch the effort in the City of San Ramon, located in California Climate Zone 12 and in an area of high cooling demand. The program seeks to drive up permit compliance through a combination of communication strategies aimed at both homeowners and HVAC contractors. The key differentiation of the program would be its integration of elements from multiple areas of energy efficiency, including behavioral science, technology, and process education. Key areas of messaging would include:

- Homeowner decision making (behavioral science approach)
  - Discounting the future, anchor bias, status quo bias, social norm behavior
- Economic conditions
  - Lifecycle cost comparison, reduced monthly bills, greater reliability

- Benefits in the home
  - Increased comfort, improved indoor air quality, greater convenience
- Risk Reduction
  - Alleviating concerns about non-permitted work, effects on resale of home
- Contractor “Education”
  - CSLB developed language on increased enforcement, penalties, approach

|                           |
|---------------------------|
| <b>Additional Details</b> |
|---------------------------|

The Funding Opportunity Announcement cites improvements to HVAC systems and controls as an area in which municipalities can target energy efficiency improvements, and states “local governments can also promote energy efficiency...through effective building code enforcement.” This approach allows for a focused compliance enhancement strategy to be implemented in a small area, within the jurisdictional boundaries of a single municipal government. The City of San Ramon offers an opportunity to target solutions to an area with high potential to generate meaningful increases in permit volume and associated energy savings. The goal will be to identify and work with the City to generate building permit data that can be analyzed and tracked both by permit type and location, providing a data source to analyze the effect of the program on overall permit volume. By targeting an area large enough to provide a statistically significant sample size but small enough to reach all homes, this approach will ensure that the effects of the Pilot can be measured and evaluated, and cost effectiveness determined.

This approach will provide for a structure that makes good use of the resources and goals of each of the three key parties – The CEC, BayREN, and the City of San Ramon. Roles and responsibilities can be clearly defined, methods described in full detail, accurate measurement of impacts made, and scalability to other cities and counties demonstrated. This approach works well relative to each of the three merit review criteria (Program Strategy and Plan, Potential Impact, Capabilities and Partnership Structure), and is both supported by and consistent with the existing focus areas of BayREN’s Energy Codes and Standards Program.

Finally, this approach reflects a program design not currently utilized in any of the existing utility or government programs addressing code compliance enhancement. Code-specific education has been part of the IOU Codes and Standards approach to HVAC compliance for several years, and two additional pilot programs (Cool Comfort Financing from The Energy Network and HVAC Permit Incentive Pilot from SDG&E) focus on the effects of incentives and finance support. However, none of these efforts addresses the fundamental issues causing low compliance. This proposed approach utilizes some of the most progressive and effective behavioral elements from energy efficiency programs and applies them to an issue which has human behavior as its fundamental cause. By changing the expectation, understanding, and desires of the homeowners, this Pilot will evaluate the potential to drive improvements in permit compliance and thus HVAC system efficiency.

# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



Date: September 4, 2014

To: ABAG Executive Board

From: Judy Kelly  
Director, San Francisco Estuary Partnership

Subject: **Authorization to Enter into Contract Agreements with Local Project Sponsors for Nineteen (19) Bay Area Integrated Regional Water Management Projects**

## Executive Summary

At the Executive Board meeting of January 17, 2013, the Board approved the submittal of a grant application to the California Dept. of Water Resources to obtain an Integrated Regional Water Management Implementation Grant pursuant to the Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Public Resource Code Section 75001 *et seq.*), and to enter into an agreement to receive a grant for the San Francisco Bay IRWM Prop 84 Round 2 Implementation Projects. The grant was awarded to ABAG on February 4, 2014 and was executed by DWR on July 16, 2014. We now seek approval to enter into contract agreements with the 19 Local Project Sponsors who will implement the 19 projects to be funded under DWR grant 4600010575.

| Project   | Local Project Sponsor                 | Grant Amount |
|---|---------------------------------------|--------------|
| Bay Area Regional Conservation and Education Program                | Zone 7 Water Agency                   | \$2,700,000  |
| East Bayshore Recycled Water Project Phase 1A (Emeryville)          | East Bay Municipal Utility District   | \$1,000,000  |
| Lagunitas Creek Watershed Sediment Reduction and Management Project | Marin Municipal Utility District      | \$720,000    |
| Marin/Sonoma Conserving Our Watersheds: Agricultural BMP Projects   | Marin Resources Conservation District | \$600,000    |

**Local Project Sponsors for Nineteen (19) Bay Area IRWM Projects**

September 4, 2014

2

| <b>Project</b>  | <b>Local Project Sponsor</b>                        | <b>Grant Amount</b> |
|---|---|---------------------|
| Napa Milliken Creek Flood Damage Reduction and Fish Passage Barrier Removal   | Napa County   | \$500,000           |
| North Bay Water Reuse Program – Sonoma Valley CSD 5th Street East/McGill Road Recycled Water Project                                    | Sonoma Valley County Sanitation District            | \$1,020,000         |
| Oakland Sausal Creek Restoration Project  | City of Oakland                                     | \$500,000           |
| Pescadero Water Supply and Sustainability Project   | San Mateo County                                    | \$700,000           |
| Petaluma Flood Reduction, Water & Habitat Quality, and Recreation Project for Capri Creek   | City of Petaluma                                    | \$825,000           |
| Redwood City Bayfront Canal and Atherton Channel Flood Improvement and Habitat Restoration Project                                      | City of Redwood City                                | \$1,135,000         |
| Regional Groundwater Storage and Recovery Project Phase 1A - South Westside Basin, Northern San Mateo County                            | San Francisco Public Utilities Commission           | \$1,400,000         |
| Richmond Breuner Marsh Restoration Project  | East Bay Regional Park District                     | \$750,000           |
| Roseview Heights Infrastructure Upgrades for Water Supply and Quality Improvement, Santa Clara County                                   | Roseview Heights Mutual Water Company               | \$500,000           |
| San Francisco Bay Climate Change Pilot Projects Combining Ecosystem Adaptation, Flood Risk Management and Wastewater Effluent Polishing | Association of bay Area Governments                 | \$2,100,000         |
| San Francisco International Airport Reclaimed Water Facility  | City and County of San Francisco-Airport Commission | \$750,000           |

## Local Project Sponsors for Nineteen (19) Bay Area IRWM Projects

September 4, 2014

3

| Project   | Local Project Sponsor           | Grant Amount |
|---|---------------------------------|--------------|
| San José Green Streets Demonstration Project  | City of San Jose                | \$2,000,000  |
| San Pablo Rheem Creek Wetlands Restoration Project  | Contra Costa Water District     | \$750,000    |
| St. Helena Upper York Creek Dam Removal and Ecosystem Restoration Project                 | City of St. Helena              | \$800,000    |
| Students and Teachers Restoring a Watershed (STRAW) Project—North and East Bay Watersheds | Point Blue Conservation Science | \$500,000    |

### Recommended Action

The Executive Board is requested to authorize the Executive Director, or his designee, to enter into contract agreements on behalf of ABAG with the Local Project Sponsors to implement the above referenced projects. The San Francisco Estuary Partnership and ABAG will provide overall grant management services for the DWR grant which extends from the award date of February 4, 2014 through completion date of December 31, 2018.

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# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



Date: September 4, 2014

To: ABAG Executive Board

From: Judy Kelly  
Director, San Francisco Estuary Partnership

Subject: **Authorization to Enter into a Contract with USGS to Provide Support for the Bay-Delta Science Program Biennial Science Conference**

## Executive Summary

ABAG/SFEP has historically provided institutional support for multi-agency planning, environmental and scientific projects, estuarine and scientific public education and outreach in regions within the San Francisco Bay-Delta Estuary. We have submitted an application to USGS to continue our longstanding working relationship to assist with planning and organization of the 2014 Bay-Delta Science Conference. The purpose of the 2014 Science Conference is to inform agency staff and stakeholders about the newest and latest information from scientific work funded in the Bay-Delta system. The conference will be organized with at least one plenary session and a series of concurrent sessions, each session organized around a specific scientific theme of critical importance to the Bay-Delta.

ABAG/SFEP will work with the Science Program Contract Manager to identify and invite qualified individuals to serve on the coordinating committee for this conference. ABAG staff will provide support for the coordinating committee during planning and implement the committee's overall plan for the conference structure. Activities will include organizing committee meetings and drafting meeting notes, identifying and working with conference chairs, program chairs, and session chairs to select, contact, and confirm presenters for each topic area, and organizing a plenary session. Other tasks include assisting with conference advertisement and registration, conference and facility logistics, poster program organization and setup, catering, staffing, and conference documentation.

The conference supports implementation of the Comprehensive Conservation and Management Plan for the San Francisco Estuary.

## Recommended Action

The Executive Board is requested to authorize the Executive Director, or his designee, to enter into a contract amendment on behalf of ABAG/SFEP with the USGS for support services on the 2010 Bay-Delta Science Conference. The contract amount will not exceed \$140,000.

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# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



Date: September 4, 2014

To: ABAG Executive Board

From: Fred Castro  
Office Manager

Subject: **Authorization to Amend Contract Agreement with Customized Performance, Inc. for Janitorial Services**

## Executive Summary

On November 2011, the Executive Board authorized entering into an agreement with Customized Performance, Inc. to perform janitorial services for ABAG. The contract period was from December 1, 2011 through June 30, 2012, and which was renewed for two additional one-year periods from July 1, 2012 through June 30, 2014.

The cost per month to perform janitorial services for ABAG is \$2,750.44. The annual cost for janitorial services from July 2014 through June 2015 is \$33,005.28, and the cost from July 2015 through December 2015 is \$16,502.64.

| Firm                            | Location     | Cost July 1, 2014<br>through<br>June 30, 2015   | Monthly Cost from<br>July 1, 2015 |
|---------------------------------|--------------|---|-----------------------------------|
| Customized<br>Performance, Inc. | San Jose, CA | \$2,750.44 Monthly<br>\$33,005.28 12-<br>months | \$2,750.44                        |

## Recommended Action

The Executive Board is requested to authorize the Executive Director, or his designee, to amend the agreement with Customized Performance, Inc., to extend the contract period from July 1, 2014 through June 30, 2015 and for additional months thereafter corresponding to ABAG's occupancy of its office space.

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# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



Date: September 5, 2014

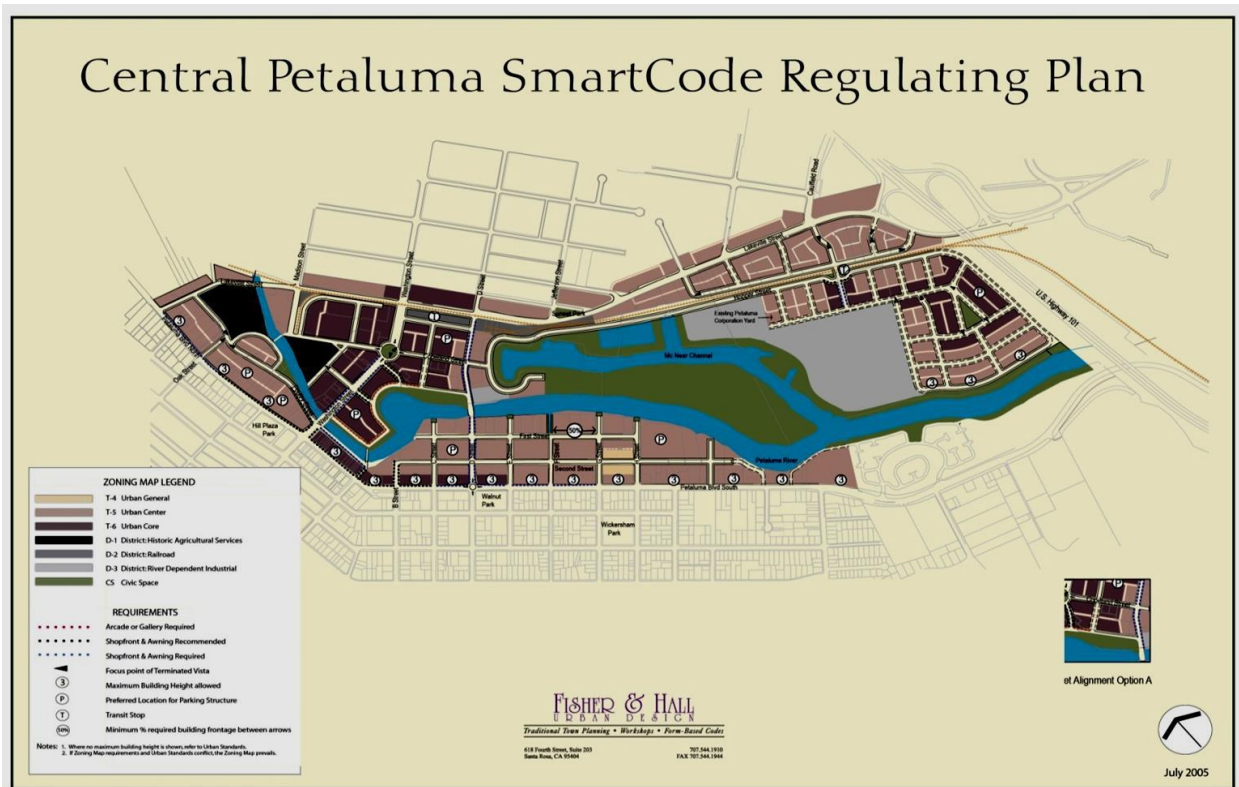
To: ABAG Executive Board

From: Duane Bay  
Research and Planning Deputy Director

Subject: **Priority Development Area Implementation Showcase—City of Petaluma**

## Executive Summary

David Rabbitt, Supervisor for Sonoma County's Second District and ABAG Vice President, will highlight the use of a form-based 'SmartCode' in the city's Priority Development Area (PDA). Petaluma's renowned SmartCode was instrumental in creating a walkable, mixed-use theatre district alongside the city's historical downtown and will help guide future development in the area.



## PDA Implementation Showcase—City of Petaluma

September 5, 2014

2

The SmartCode is part of the Central Petaluma Specific Plan, which provides land use and development regulations for nearly 400 acres in the city's core. The SmartCode provides a template for both planning and urban design, ensuring that all new buildings and their uses comply with each other and the surrounding area. It regulates building frontages, heights, and footprints in order to create coordinated aesthetics that build upon Petaluma's architectural heritage, along with a planned street layout and open space designations to enhance the area's connections to the Petaluma River.

Using transect zones—which capture the nature of development that should occur moving from the city core to the periphery—to frame this presentation, Supervisor Rabbitt will talk about the creation of Petaluma's Theatre District adjacent to the city's downtown on what was formerly underutilized industrial and vacant land. The development—which opened in the mid-2000s—is host to a mix of uses including office, commercial, and residential space.



Before



After

As cities around the Bay Area plan for mixed-use development in PDAs, it is becoming increasingly apparent that urban design principles can be used to encourage pedestrian activity and establish placemaking identity. This presentation highlights the ways in which form-based codes can be utilized to achieve these goals by creating guidelines for property owners and developers.

### Recommended Action

Information.

# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



Date: September 9, 2014

To: ABAG Executive Board

From: Judy Kelly  
Director, San Francisco Estuary Partnership

Subject: **Background on Proposed Water Bond Proposition 1**

## Executive Summary

Pivotal decisions are pending on water-related issues that will have significant long-term impacts to the quality of life and economy of the San Francisco Bay-Delta region. One of these decisions is the outcome of Proposition 1 on the November ballot.

California Proposition 1, the **Water Bond** (Assembly Bill 1471), is on the November 4, 2014, ballot in California as a legislatively-referred bond act. The measure, upon voter approval, would enact the **Water Quality, Supply, and Infrastructure Improvement Act of 2014** and would:

Authorize \$7.12 billion in general obligation bonds for state water supply infrastructure projects, such as public water system improvements, surface and groundwater storage, drinking water protection, water recycling and advanced water treatment technology, water supply management and conveyance, wastewater treatment, drought relief, emergency water supplies, and ecosystem and watershed protection and restoration.

Appropriate money from the General Fund to pay off bonds and require certain projects to provide matching funds from non-state sources in order to receive bond funds. Specific spending proposals in the proposition include:

- \$520 million to improve water quality for “beneficial use,” for reducing and preventing drinking water contaminants, disadvantaged communities, and the State Water Pollution Control Revolving Fund Small Community Grant Fund.
- \$1.495 billion for competitive grants for multi-benefit ecosystem and watershed protection and restoration projects.
- \$810 million for expenditures on, and competitive grants and loans to, integrated regional water management plan projects.
- \$2.7 billion for water storage projects, dams and reservoirs.
- \$725 million for water recycling and advanced water treatment technology projects.
- \$900 million for competitive grants, and loans for, projects to prevent or clean up the contamination of groundwater that serves as a source of drinking water.
- \$395 million for statewide flood management projects and activities.

## **Background on Proposed Water Bond Proposition 1**

September 9, 2014

2

Governor Brown called on the legislature to replace the previous \$11.14 billion bond with a \$6 billion bond on June 25, 2014. The legislature passed the new \$7.12 billion bond on August 13, 2014. The water bond was moved twice. Originally certified to be on the state's 2010 ballot, it was removed and placed on the 2012 ballot. On July 5, 2012, the state legislature approved a bill to take the measure off the 2012 ballot and put it on the 2014 ballot. [Source: ballotpedia.org]

### Speaker

Briefing us on the Proposition will be John Coleman. In addition to his position as Executive Director of the Bay Planning Coalition, Mr. Coleman also serves as a Director of the East Bay Municipal Utilities District and in the following capacities: President of the Association of California Water Agencies (ACWA) Board of Directors; Board Member of Freeport Regional Water Authority (FRWA); Chair of the Upper Mokelumne River Watershed Authority (UMRWA); Board Member of Contra Costa Leadership Council; Board Member of DERWA, the joint powers authority for recycled water service provided by EBMUD and the Dublin-San Ramon Services District; and member of the East Bay Economic Development Alliance Legislative Committee and of the San Francisco Bay Restoration Authority Advisory Committee.

### Recommended Action

Information

### Attachment

None

# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



Date: September 9, 2014

To: ABAG Executive Board

From: Danielle Hutchings Mieler  
Earthquake and Hazards Program Coordinator

Subject: **Resilience Issues Related to South Napa Earthquake**

## Summary

This memo provides a short description of the impacts of the recent earthquake affecting Napa and Solano counties and describes ABAG's response to the event. A panel of elected officials from Napa and Solano and the city manager of the City of Napa will describe their experiences with response and recovery from the earthquake and identify additional ways ABAG can support the recovery effort.

## Background

On August 24, 2014 a magnitude 6.0 earthquake struck the northern San Francisco Bay Area. The earthquake was the largest to hit the Bay Area in 25 years since Loma Prieta. The earthquake was centered near American Canyon within the West Napa fault system. Preliminary mapping of fault rupture from the event indicates a fault in the Brown's Valley Section of the West Napa Fault Zone that extends well beyond what was previously known to scientists. River valley sediments in Napa Valley likely contributed to the amplification of shaking around Napa.

The earthquake is estimated to have caused more than \$400 million in damages to Napa and Solano Counties. Economic costs to Napa County may go as high as \$1 billion due in part to business disruption and damage to area wineries and wine storage facilities. Older unreinforced masonry buildings in downtown Napa sustained significant damage, and several newer buildings also suffered damage as a result of the earthquake. In Vallejo, storefront windows shattered and walls tumbled down in buildings. Hundreds of water pipes ruptured in the earthquake and many residents were without power. Housing damage was primarily to mobile homes shifting off their supports and the crawl space of single family homes collapsing and/or shifting off the foundation. Thousands of buildings have been red or yellow tagged in Napa and Solano Counties.

## **Resilience Issues Related to South Napa Earthquake**

September 9, 2014

2

ABAG provided the following responses to the earthquake:

- A delegation of ABAG Executive Board members and staff visited the affected areas, met with officials in the City of Napa and Napa and Solano Counties to find ways to support the recovery effort and to learn how ABAG can plan for and respond to future events.
- As a result of meetings with Napa County officials, ABAG connected Napa County with City and County of San Francisco and SFPUC officials experienced in the FEMA reimbursement process;
- Staff participated in reconnaissance efforts led by the Earthquake Engineering Research Institute, surveying damage to mobile homes in Napa;
- Staff responded to media inquiries about the performance of unreinforced masonry and soft-story buildings in earthquakes;
- Staff developed an event web portal at <http://quake.abag.ca.gov/projects/south-napa-earthquake-portal> with links to recommended resident and local government actions, news reports, and additional resources for recovery;
- ABAG offered staff support to local planning departments;
- Staff attended a meeting of Napa and Solano County Building Officials and provided copies of ABAG's Plan Set A for the retrofit of single family homes. Staff also recommended additional actions local governments can take such as a gas shut-off valve ordinance and adopting updated guidance on cordoning and barricading around earthquake damaged buildings.

### Recommended Action

According to the U.S. Geological Survey, there is a high likelihood of a magnitude 6.7 or greater earthquake in the Bay Area in the coming decades; it could happen today. The earthquake could occur on any number of significant faults crossing the Bay Area, including the Hayward, San Andreas, and Concord-Green Valley faults. In response to this, and the testimony of Napa and Solano representatives, ABAG will continue to support the cities and counties affected by the South Napa Earthquake and will draw specific lessons to improve the resilience of communities affected by future earthquakes.

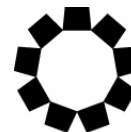
### Attachment

None



# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



ABAG

## AGENDA

### LEGISLATION AND GOVERNMENTAL ORGANIZATION COMMITTEE

**Thursday, September 18, 2014**

**3:30 p.m. – 5:00 pm**

**Site:** Association of Bay Area Governments, 101 8<sup>th</sup> Street, Conference Room B, Oakland, CA

**Committee Chair:** *Supervisor Scott Haggerty, Alameda County*

**Committee Vice Chair:** *Councilmember Desley Brooks, City of Oakland*

**Staff:** *Brad Paul, Deputy Executive Director, 510/464-7955, BradP@abag.ca.gov; Kathleen Cha, Senior Communications Officer, 510/464-7922; KathleenC@abag.ca.gov*

*The ABAG Legislation and Governmental Organization Committee may act on any item on this agenda.*

*Agenda and attachments available at ABAG/Front Desk, 101 8<sup>th</sup> Street, Oakland, or at [www.abag.ca.gov/meetings](http://www.abag.ca.gov/meetings).*

*For information, contact Kathleen Cha at (510) 464-7922*

#### 1. CALL TO ORDER

#### 2. OPEN AGENDA—PUBLIC COMMENT

#### 3. APPROVAL OF MINUTES: FROM JULY 17, 2014 MEETING

Action

#### 4. LEGISLATION PROPOSED FOR 2014 LEGISLATIVE SESSION

The Committee will review two bills not previously considered that have been sent to Governor:

**AB 2493 (Bloom) Redevelopment dissolution: Housing projects—Bond Proceeds**

**AB 2135 (Ting) Local Agencies: Surplus Land—Affordable Housing**

Information/Action

#### 5. STATUS REVIEW OF ALL LEGISLATION CONSIDERED IN 2014

*Attachment: 2014 Legislation Summary update from 9/8/14*

Information

#### 6. REVIEW OF PROPOSITIONS ON NOVEMBER BALLOT

Six propositions on State November ballot will be reviewed.

Information/Action

#### 7. ADJOURNMENT

The next meeting of the L&GO Committee will be on **To Be Announced**

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ASSOCIATION OF BAY AREA GOVERNMENTS  
Representing City and County Governments of the San Francisco Bay Area

LEGISLATION  
2014 State Legislative Session  
Legislation & Governmental Organization Committee  
September 8, 2014

| Bill Author | Subject | Status | Staff Recommendation | L&GO Position | Legislation Summary |
|-------------|---------|--------|----------------------|---------------|---------------------|
|-------------|---------|--------|----------------------|---------------|---------------------|

|            |  |  |  |  |   |
|------------|--|--|--|--|---|
| *NEW BILLS |  |  |  |  | <b>Bold Face/Shading in Legislation Summary indicates change/ amendments.</b> |
|------------|--|--|--|--|---|

*Bills reviewed are listed in numeric order with Assembly bills listed first, followed by Senate bills*

|               |  |  |  |                 |  |
|---------------|--|--|--|-----------------|--|
|               | New Bills  |  |  |                 |  |
|               |  |  |  |                 |  |
|               |  |  |  |                 |  |
|               | Status of Bills Considered at end of 2014 Legislative Session      |  |  | Positions Taken |  |
| AB 418 Mullin | Local Government: Special tax, Assessment, or Property-related Fee | To SEN 8/4 Held at Desk<br><br>ASM Inactive File | Support<br><br>LCC Watch<br><br>CSAC Watch | SUPPORT         | <b>SEN amendment to ASM bill:</b><br>1)Authorize C/CAG to impose a special tax, in compliance with Article XIII C of the California Constitution, or to impose a property related fee, in compliance with Article XIII D of the California Constitution, to implement stormwater management programs consistent with the joint powers agreement of C/CAG's member agencies.<br>2) Allow the special tax or property related fee, at the option of C/CAG, to be collected on the tax rolls of the county in the same manner, by the same persons, subject to the same penalties, and at the same time as county ad valorem property taxes. Allow the county auditor to deduct the amount required to reimburse the county for its actual cost of collection.<br><i>(originally: Would authorize the City/County Association of Governments of San Mateo County, in accordance with CA constitutional provisions, to impose a parcel tax or a property-related fee for the purpose of implementing stormwater management programs consistent with the agencies' joint powers agreement.)</i> |

| Bill Author          | Subject   | Status   | Staff Recommendation                                | L&GO Position         | Legislation Summary  |
|----------------------|---|--|---|-----------------------|--|
| AB 1179<br>Bocanegra | <p>Recycling: Waste Tires: Public Works Projects</p> <p><del>Was Strategic Growth Council</del></p> | <p>Enrolled 8/29</p> <p>SEN Appropriations Hearing 8/4</p> | <p>Support</p> <p>LCC Watch</p> <p>CSAC Watch</p>   | <p><b>SUPPORT</b></p> | <p><b>Gut and amend: 8/11</b> <u>This bill would additionally authorize the Department of Resources Recycling and Recovery, when awarding grants pursuant to the tire recycling program, to award grants for public works projects to create parklets, greenways, or both, that use tire-derived products and would require the department, if it awards those grants, to give priority for funding to those projects in disadvantaged communities, as defined.</u></p> <p>Amended 6/25 to read:<br/> This bill would add the Superintendent of Public Instruction or his or her designee to the Strategic Growth <u>Council but would authorize him or her to vote only on decisions of the council that are within the jurisdiction of that office.</u><br/> <i>Original bill: Would add the Superintendent of Public Instruction or designee to the membership of the Strategic Growth Council. Current membership includes secretaries from the Business, Consumer Services, and Housing Agency, the Transportation Agency, the Health and Human Services Agency, the Environmental Protections Agency, the Natural Resources Agency, the Director of the Governor's Office of Planning and Research, and a public member appointed by the Governor.</i></p> |
| AB 1537<br>Levine    | General Plan Housing Element: Regional Housing Need   | Enrolled 8/26  | <p>Support</p> <p>LCC Watch</p> <p>CSAC Support</p> | <b>SUPPORT</b>        | <p><b>Amended 8/19/14:</b></p> <p><b>This bill would require, until December 31, 2023, a county that is in the San Francisco-Oakland-Fremont California Metropolitan Statistical Area and that has a population of less than 400,000 to be considered suburban for purposes of determining the densities appropriate to accommodate housing for lower income households. The bill would require these counties to utilize the sums existing in their housing trust funds as of June 30, 2013, for affordable housing, as specified. The bill would, for that same purpose, also require a city that has a population of less than 100,000 and is incorporated within that county to be considered suburban. The bill would require a county or city so classified to make 2 reports, as specified, to the Legislature and the Department of Housing and Community Development. The bill would</b></p>  |

| Bill Author       | Subject                         | Status           | Staff Recommendation                                 | L&GO Position  | Legislation Summary Page 3   |
|-------------------|---------------------------------|------------------|--|----------------|--|
|                   |                                 |                  |  |                | <p><b>apply housing density requirements in place on June 30, 2014, within 1/2 mile of a Sonoma-Marín Area Rail Transit station.</b></p> <p>Amended 4/21/14:<br/> Would require, <u>until December 31, 2023</u>, a county that is in <u>the San Francisco-Oakland-Fremont</u> Metropolitan Statistical Area of <del>2,000,000 or more</del> and that has a population of less than 400,000 to be considered suburban for purposes of determining the densities appropriate to accommodate housing for lower income households. The bill would, for that same purpose, also require a city that has a population of less than 100,000 and in incorporated within that county to be considered suburban. <u>The bill would require a county or city so classified to make 2 reports to the Legislature and the Department of Housing and Community Development.</u></p>  |
| AB 1690<br>Gordon | Local Planning Housing Elements | Enrolled<br>8/26 | Support<br><br>LCC<br>Support<br><br>CSAC<br>Support | <b>SUPPORT</b> | <p><b>Amended 6/30: This bill allows a city or county to accommodate their very low and low-income housing needs on sites designated for mixed uses if those sites allow 100% residential use; and requires that residential use occupy 50% of the total floor area of a mixed-use project.</b></p> <p><i>Existing law requires that the housing element of a community's general plan contain a program that sets forth a schedule of actions during the planning period that the local government is undertaking, or intends to undertake, to implement the policies and achieve the goals and objectives of the housing element through the utilization of appropriate federal and state financing and subsidy programs, and the utilization of moneys in a low- and moderate-income housing fund, as specified. Existing law also requires the program to accommodate at least 50% of the very low and low-income housing need on sites designated for residential use and for which nonresidential uses or mixed-uses are not permitted, as specified.</i></p> <p>Original: This bill would instead require the program to accommodate at least 50% of the very low and low-income housing need on sites designated for <u>residential use or mixed-uses.</u></p> <p>Deletes the requirement that a local government, when it</p> |

| Bill Author | Subject | Status | Staff Recommendation | L&GO Position | Legislation Summary | Page 4 |
|-------------|---------|--------|----------------------|---------------|---------------------|--------|
|-------------|---------|--------|----------------------|---------------|---------------------|--------|

|   |   |   |   |                    |  |
|---|---|---|---|--------------------|--|
|   |   |   |   |                    | fails to identify adequate sites in its housing element and must adopt a rezoning program, rezone at least 50% of its affordable housing sites on land designated for residential use and for which nonresidential uses or mixed-uses are not permitted. This bill would instead require the program to accommodate at least 50% of the affordable housing need on sites designated for residential use or mixed-uses.   |
| <b>AB 1793<br/>Chau</b>                 | <b>Redevelopment Housing Successor—Report</b><br><br><i>Original title: Community Development: Affordable Housing</i> | <b>Enrolled<br/>9/4</b>   | Watch<br><br>LCC<br>Watch/<br>Notice of<br>Concern<br><br>CSAC<br>Watch | <b>WATCH</b>       | <b>Amended 6/17</b><br><u>This bill would require that “posted information to also include, as specified, an inventory of homeownership units assisted by the former redevelopment agency or the housing successor that are subject to covenants or restrictions or to an adopted program that protects the former redevelopment agency’s investment of moneys from the Low and Moderate Income Housing Fund.”</u><br><br>Original: Authorizes housing successors to transfer the responsibility of enforcing the affordability deed restrictions of below market-rate (BMR) homeownership units of former redevelopment agencies (RDAs) to qualified nonprofit organizations. |
| <b>AB 1893<br/>Stone and<br/>Eggman</b> | <b>Sharps Waste</b>   | <b>Stricken<br/>from file 6/26</b><br><br><b>ASM<br/>Appropriations</b> | Support   | <b>No position</b> | Requires that customers be given a free sharps disposal container with the sale of 50 or more medical sharps for self-injection. Specifies that the sharps container shall be provided by the sharps manufacturer at no cost and that the container have adequate capacity to hold the number of sharps purchased.   |
| <b>AB 1961<br/>Eggman</b>               | <b>Land Use: Planning – Sustainable Farmland Strategy</b>   | <b>ASM<br/>Appropriations</b><br><br><b>Held under<br/>submission</b>   | Watch<br><br>LCC<br>Watch<br><br>CSAC<br>Oppose<br>unless<br>amended    | <b>WATCH</b>       | This bill would require each county with significant agricultural land resources, as defined, to also develop, on or before January 2, 2018, a sustainable farmland strategy. The bill would require the Sustainable Farmland Strategy to include, among other things, a map and inventory of all agriculturally zoned land within the county, a description of the goals, strategies, and related policies and ordinances, to retain agriculturally zoned land where practical and mitigate the loss of agriculturally zoned land to nonagricultural uses or zones, and a page on the county’s Internet Web site with   |

| Bill Author | Subject | Status | Staff Recommendation | L&GO Position | Legislation Summary | Page 5 |
|-------------|---------|--------|----------------------|---------------|---------------------|--------|
|-------------|---------|--------|----------------------|---------------|---------------------|--------|

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|                           |   |   |  |                | the relevant documentation for the goals, strategies, and related policies and ordinances, as specified. The bill would exempt any county with less than 4% of its land use base in agriculture, as specified. By increasing the duties of local officials, this bill would impose a state-mandated local program.   |
| <b>AB 1970<br/>Gordon</b> | <b>California Global Warming Solutions act of 2006: Community Investment and Innovation Program</b>   | <b>ASM Appropriations</b><br><br><b>Held under submission</b>           | Support<br><br>LCC Support<br><br>CSAC Support | <b>SUPPORT</b> | <p>Amended 4/10/14</p> <p>This bill would create the Community Investment and Innovation Program and would require moneys to be available from the Greenhouse Gas Reduction Fund, upon appropriation by the Legislature, for purposes of awarding <del>local assistance</del> grants and other financial assistance to eligible <del>grant</del> applicants, as defined, who submit plans to develop and implement integrated community-level greenhouse gas emissions reduction projects in their region.</p> <p>The bill would require the Strategic Growth Council, in <del>coordination</del> consultation with the state board, to administer the program, as specified.</p> <p><del>The bill would require 25% of the moneys appropriated for purposes of the program be awarded to eligible grant applicants whose projects include and specifically benefit environmental justice communities, as defined.</del></p>   |
| <b>AB 2008<br/>Quirk</b>  | <b>Transit Village Plans: Goods Movement</b><br><br><i>(was California Environmental Quality Act: Infill Projects—Goods Movement</i><br><b>(was Regional Transportation Plan: Sustainable Communities Strategy-Urban Freight)</b> | <b>Chaptered 7/7/14</b><br><b>Chapter 88</b><br><b>Statutes of 2014</b> | Watch<br><br>LCC Watch<br><br>CSAC Watch       | <b>WATCH</b>   | <p><b>Final: This bill would require the transit village plan to address demonstrable public benefits beyond the increase in transit usage including any 6 specified benefits. The bill would add as a public benefit the minimization of the impact of goods movement on air quality, traffic, and public safety through the provision of dedicated loading and unloading facilities for commercial space.</b></p> <p><i>Amended 3/28/14</i></p> <p>Requires the California Environmental Quality Act (CEQA) guidelines for infill project performance standards to include projects that promote the minimization of air quality, traffic, and public safety impacts of goods movement through dedicated loading and unloading facilities for commercial space.</p> <p><i>(original: This bill would state the intent of the legislature to enact legislation that would require a sustainable communities strategy to include consideration of greenhouse gas emissions resulting the</i></p> |

| Bill Author | Subject | Status | Staff Recommendation | L&GO Position | Legislation Summary | Page 6 |
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|                     |   |  |   |        | <i>delivery of urban freight.)</i>  |
| AB 2145<br>Bradford | Electricity: Community Choice Aggregation | SEN<br><br>8/19 Read amended and ordered to 3 <sup>rd</sup> reading<br><br>Appropriations<br><br>Hearing 8/4 | Oppose<br><br>CSAC oppose<br>LCC oppose | OPPOSE | <p>Amended: July 1, 2014</p> <p>The act requires a community choice aggregator to register with the commission, which may require additional information to ensure compliance with basic consumer protection rules and other procedural matters. <u>Existing law requires that a city, county, or city and county that elects to implement a community choice aggregation program within its jurisdiction do so by ordinance, but authorizes a city, county, or city and county to request, by affirmative resolution of its governing council or board, that another entity authorized to be a community choice aggregator act as the community choice aggregator on its behalf, in which case, that other entity is responsible for adopting the ordinance to implement the community choice aggregation program on behalf of the requesting city, county, or city and county.</u></p> <p>Communication by the community choice aggregator to the public or prospective and existing customers to be consistent with, specified information and would require that the implementation plan filed by a community choice aggregator completely describe <u>certain</u> matter required to be disclosed under existing law. The bill would authorize the commission to require that a community choice aggregator, when registering with the commission, provide additional information to ensure compliance with basic consumer protection and other rules and other procedural matters. <u><b>If a city, county, or city and county requests another entity that is authorized to be a community choice aggregator to act as the community choice aggregator on its behalf, the bill would require that the entity that is to be the community choice aggregator be in a county that is contiguous to the requesting city, county, or city and county. The bill would provide that, beginning January 1, 2015, no entity may enact an ordinance to serve as the community choice aggregator in more than 3-contiguous-counties, but may serve as the community choice aggregator for any city, county, or city and county that is outside a 3-contiguous-county area, for which it adopted an ordinance prior to January 1, 2015.</b></u> The bill would make other technical, nonsubstantive revisions to the</p> |



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|                   |   |   |  |         | <p>community choice aggregator provisions.</p> <p><u>The Joint Exercise of Powers Act authorizes the legislative or other governing bodies of 2 or more public agencies to jointly exercise by agreement any power common to the contracting parties, as specified. Existing law authorizes any group of cities, counties, or cities and counties whose governing boards have so elected to combine the loads of their programs as a community choice aggregator through the formation of a joint powers agency established pursuant to the Joint Exercise of Powers Act. This bill would prohibit a joint powers agency formed to provide electric service as a community choice aggregator from exceeding the geographical boundaries of 3-contiguous-counties, but would provide that this limitation does not apply where an ordinance authorizing community choice aggregation outside the 3-contiguous-counties was adopted prior to January 1, 2015.</u></p> <p><i>Original language: Makes specific reforms to the community choice aggregation (CCA) program.</i></p> <p><i>1) Requires customers to opt-in to CCA's effective January 1, 2015.</i></p> <p><i>2) Requires CCA implementation plans to include information to customers about the following: a) rates as compared to the incumbent utility; b) greenhouse gas emission rate using protocols established by the California Air Resources Board</i></p> <p><i>3) Authorizes the California Public Utilities Commission (PUC) to process complaints against the CCA, as the incumbent utility, prescribed by law.</i></p> |
| AB 2170<br>Mullin | Joint Powers Authorities: Common Powers             | Enrolled and presented to Governor<br>3 pm 8/21 | Watch<br>LCC<br>Support<br>CSAC<br>Support     | WATCH   | Amended: This bill would provide that the parties to the agreement may exercise any power common to the contracting parties, including, but not limited to, the authority to levy a fee, <i>assessment</i> , or tax, as specified.   |
| AB 2280<br>Alejo  | Community Revitalization and Investment Authorities | Enrolled<br>8/29                                | Support<br><br>LCC<br>Support<br>CSAC<br>Watch | SUPPORT | Allows local governments to establish a Community Revitalization and Investment Authority (Authority) in a disadvantaged community to fund specified activities and allows the Authority to collect tax increment, in particular to administer economic development and affordable housing programs.   |

| Bill Author | Subject | Status | Staff Recommendation | L&GO Position | Legislation Summary | Page 8 |
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| AB 2282<br>Gatto    | Building Standards: Recycled Water Systems Infrastructure | Enrolled<br>8/26                                | Watch                       | WATCH                      | <p><b>Amended 8/5, 8/18:</b> This bill would require the department, in consultation with other designated entities, to conduct research to assist in the development of, and to submit for adoption by the commission of, mandatory building standards for the installation of recycled water systems for newly constructed single-family and multifamily residential buildings. The bill would authorize the department to expend funds from the existing Building Standards Administration Special Revolving Fund for this purpose, upon appropriation. The bill would require the department to limit the mandate to install recycled water systems within residential buildings and building site landscaped areas to areas within a local jurisdiction that meet specified conditions. The bill would require the department to develop the application provisions in consultation with specified entities. The bill would define the term “recycled water” for these purposes.</p> <p>This bill would require the Department of Housing and Community Development, in consultation with other designated entities, to conduct research to assist in the development of, and to propose the adoption, amendment, or repeal by the commission, of mandatory building standards for the installation of recycled water infrastructure for newly constructed single-family and multifamily residential buildings.</p> |
| AB 2284<br>Williams | Recycling Household Batteries Pilot Projects              | SEN Environmental Quality                       | Support                     | Watch-Stalled in Committee | Requires the Department of Resources Recycling and Recovery (CalRecycle) to develop and fund up to three local recycling pilot projects for non-rechargeable household batteries.  |
| AB 2372<br>Ammiano  | Change in Ownership                                       | SEN Appropriations Held in Com Under submission | Support<br><br>CSAC Support | Support                    | <p>This bill would specify that if <u>on or after January 1, 2015</u> 90% or more of the <u>direct or indirect</u> ownership interests in a legal entity are <u>cumulatively</u> transferred in <u>one or more</u> transactions, the transfer of the ownership interest is a <u>change in ownership of the real property owned by the legal entity</u>, whether or not any one legal entity or person acquires <u>control</u> of the ownership interests. <u>This bill would require the Franchise Tax Board to include an additional question on</u></p>  |

| Bill Author | Subject | Status | Staff Recommendation | L&GO Position | Legislation Summary | Page 9 |
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|  |   |  |         |                          | <p><u>corporation and income returns for partnerships, banks, and corporations to assist in the determination of whether a change in ownership as so described has occurred.</u></p> <p>This bill would require the State Board of Equalization to report to the Legislature, no later than January 1, 2020, regarding the implementation of these changes in ownership, including, but not limited to, the economic impact and frequency of reassessments of real property owned by legal entities.</p>  |
| <b>AB 2748</b><br><b>Environmental Safety and Toxic Material Committee</b> | <b>Hazardous Waste: Business Plan</b>                               | <b>Enrolled 8/29</b>   | Support | <b>Support</b>           | <p>This bill provides that a business that handles paint that will be recycled or otherwise managed under an architectural paint recovery program approved by the Department of Resources Recycling and Recovery (CalRecycle) is only required to establish and implement a hazardous materials business plan (HMBP) if it handles postconsumer (leftover) paint above specified quantities.</p>  |
| <b>SB 53</b><br><b>deLeon</b>  | <b>CA Ammunition Safe Sales System: Ammunition-Purchase Permits</b> | <b>ASM 8/30 Read 3<sup>rd</sup> time, refused passage, from ASM without further action</b> | Support | <b>Support</b>           | <p>Senate Bill 53 addresses these deficiencies in the law by creating comprehensive ammunition regulations that ensure that criminals and other dangerous individuals cannot purchase ammunition. Under this proposal, a statewide standard on ammunition sales would be created, providing law enforcement with clear information on who is selling and buying ammunition.</p> <p>"Senate Bill 53 requires ammunition vendors to be licensed in order to know who is actually selling ammunition in California. Additionally, SB 53 requires purchasers to pass a background check in order to buy ammunition. The Department of Justice will keep a list of all authorized ammunition purchasers, which vendors will use to verify that individuals are not prohibited from purchasing ammunition. By doing so, this measure will make ammunition accessible only to lawful gun owners, and not dangerous criminals."</p> |
| <b>*SB 270</b><br><b>Padilla</b>   | <b>Solid Waste: Single-use Carryout Bags</b>                        | <b>Enrolled 8/29</b>   | Support | <b>No position taken</b> | <p>This bill prohibits retail stores from providing single-use carryout bags to customers, and requires retail stores to provide only reusable grocery bags for no less than 10 cents per bag.</p>  |

| Bill Author          | Subject  | Status   | Staff Recommendation                           | L&GO Position                | Legislation Summary Page 10  |
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| SB 391<br>DeSaulnier | California Homes and Jobs Act 2013   | ASM Appropriations—<br>Suspense File<br><br>Last Action 8/30/13<br>No movement | Support<br><br>LCC Support<br><br>CSAC Watch   | <b>SUPPORT</b><br>If amended | <p><b><u>Last Action 8/30/13</u></b><br/>: “would enact the California Homes and Jobs Act of 2013. The bill would make legislative findings and declarations relating to the need for establishing permanent, ongoing sources of funding dedicated to affordable housing development. The bill would impose a fee, except as provided, of \$75 to be paid at the time of the recording of every real estate instrument, paper, or notice required or permitted by law to be recorded. By imposing new duties on counties with respect to the imposition of the recording fee, the bill would create a state-mandated local program.”</p> <p><i>“The bill would require that revenues from this fee be sent quarterly to the Department of Housing and Community Development for deposit in the California Homes and Jobs Trust Fund, which the bill would create within the State Treasury. The bill would provide that moneys in the fund may be expended for supporting affordable housing, administering housing programs, and the cost of periodic audits, as specified. The bill would impose certain auditing and reporting requirements.”</i></p> |
| SB 792<br>DeSaulnier | Administrative Regulations:<br>Corrosion Prevention and Mitigation Projects<br><br><del>Regional Entities San Francisco Bay Area</del> | Enrolled   | Watch<br><br>LCC Watch<br><br>CSAC No Interest | <b>OPPOSE (5/15)</b>         | <p><b>Amended 8/30</b><br/><u>This bill would require a public entity that awards a contract for construction, alteration, demolition, installation, repair, or maintenance work after January 1, 2017, that is paid for in whole or in part with state funds, to require contractors and subcontractors performing corrosion prevention and mitigation work to comply with specified standards to be adopted by the Director of the Department of Industrial Relations in consultation with the Department of Toxic Substances Control. The bill would also exempt work on sheet metal and ventilation systems and plumbing and piping systems, and precast concrete work that is performed off site, when the work is performed by specified persons, from the standards adopted under these provisions.</u></p> <p><b>Amended 5/19 and 6/30 with more anticipated 1/28/14</b> This bill requires the San Francisco Bay Areas joint</p>  |

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|                                  |   |   |   |  | policy committee (JPC) to prepare a plan for consolidating the functions common to its member agencies and requires the Metropolitan Transportation Commission (MTC) to convene a public engagement advisory group to assist in the development of a draft public participation plan, as specified. Requires the inclusion of additional elements in the region's Sustainable Communities Strategy (SCS), and assigns responsibility for those elements to member entities of the JPC.  |
| <b>SB 848</b><br><b>Wolk</b>     | <b>Safe Drinking Water, Water Quality, and Water Supply Act of 2014</b> | <b>SEN</b><br><b>8/18 Ordered</b><br><b>inactive by</b><br><b>Sen. Wolk</b> | Support<br><br>LCC<br>Watch<br>CSAC<br>Pending                    | <b>SUPPORT</b>   | <p>Amended 7/3: This bill replaces the \$11.14 billion water bond that is currently on the November 2014 ballot with a new <u>\$7,500,000,000</u> general obligation bond titled "The Safe Drinking Water, Water Quality, and Water Supply Act of 2014," (Act) and seeks voter approval to make unappropriated bond funds from specific water bonds, which were authorized in 2000 and earlier, eligible for appropriation for water supply projects.</p> <p>Original: This bill would enact the Safe Drinking Water, Water Quality, and Water Supply Act of 2014, which if adopted by the voters, would authorize the issuance of bonds in the amount of \$6,825,000,000 pursuant to the State General Obligation Bond Law to finance a safe drinking water, water quality, and water supply program.</p>                  |
| <b>SB 1014</b><br><b>Jackson</b> | <b>Pharmaceutical Waste: Home Generated</b>                             | <b>ASM</b><br><b>Appropriations</b>   | Support<br><br>As Introduced<br>LCC<br>Support<br>CSAC<br>Support | <b>NO INTEREST</b><br><b>AS AMENDED</b><br><b>(5/15)</b> | <p>Amended 5/27, 6/10, 6/18/, 6/30: This bill would require the department and the California State Board of Pharmacy, on or before January 1, 2016, to jointly develop and adopt regulations to authorize a participant to establish a program to collect and properly dispose of home-generated pharmaceutical waste, based upon the model guidelines developed by the department pursuant to those repealed provisions and to include specified provisions in those regulations. The bill would deem a participant operating a program in accordance with those regulations to be in compliance with all state laws and regulations concerning the handling, management, and disposal of home-generated pharmaceutical waste.</p> <p><b>Amended 4/21:</b><br/><u>This bill would require the department to adopt</u></p> |

| Bill Author | Subject | Status | Staff Recommendation | L&GO Position | Legislation Summary | Page 12 |
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|                 |                                |   |                                |         | <p><u>regulations to authorize a participant to establish a program to collect and properly dispose of home-generated pharmaceutical waste, based upon the model guidelines developed by the department pursuant to those repealed provisions and to include specified requirements and provisions in those regulations. The bill would require an entity that elects to implement a home-generated pharmaceutical waste collection program to comply with the requirements specified in those regulations and would deem a participant operating a program in accordance with those regulations to be in compliance with all state laws and regulations concerning the handling, management, and disposal of home-generated pharmaceutical waste</u></p> <p>(Original: Would require producers of pharmaceuticals, as defined, to create, finance and manage a collections system for California consumers to safely and conveniently take-back unwanted pharmaceuticals: a system structured after an existing program in Canada which the industry has efficiently operated for 15 years.</p> <p><i>“This bill would enact the Home-Generated Pharmaceutical Waste Collection Disposal Act and would define terms for purposes of the act. The bill would require a producer of covered pharmaceuticals to submit to the Department of Resources Recycling and Recovery, by July 1, 2015, except as specified, a product stewardship plan and would authorize one or more producers to submit a plan or designate a stewardship organization to act as an agent on behalf of the producers to submit a plan. The bill would require the stewardship plan to contain specified elements with regard to the collection and disposal of home-generated pharmaceutical waste, including provisions for the payment of all administrative and operational fees associated with the product stewardship program.”)</i></p> |
| SB 1021<br>Wolk | School Districts: Parcel Taxes | ASM<br>Com on<br>Revenue and<br>Taxes<br>Hearing 6-25<br><br>Failed<br>Passage in | Support<br><br>CSAC<br>Pending | SUPPORT | <p>Unlike other property taxes limited by Proposition 13, which are based on value, <b>parcel taxes are fixed amounts on property regardless of size or value under current law.</b> Two years ago, a state appellate court ruled that Alameda Unified School District illegally imposed non-uniform parcel taxes – higher levies on some commercial property than on</p>   |

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|                       |  | Committee                                  |   |                | <p><i>residential parcels.</i></p> <p>SB 1021 would overturn the decision and allow differential parcel taxes with two-thirds local voter approval: This bill would provide that special taxes that apply uniformly include any special tax imposed on a per parcel basis, according to the square footage of a parcel or the square footage of improvements on a parcel, according to the <del>classification</del> of a parcel, and at a lower rate on unimproved property. This bill would authorize a school district to treat multiple parcels of real property as one parcel of real property for purposes of a qualified special tax, where the parcels are contiguous, under common ownership, and constitute one economic unit.</p>  |
| SB 1077<br>DeSaulnier | <p><b>Vehicles: Road Usage Charge Pilot Program</b></p> <p><b><del>Vehicles: Mileage-based Fee Pilot Program</del></b></p> | Enrolled-presented to Governor @ 11am 8/28 | <p>Watch</p> <p>MTC Support</p> <p>LCC Support in Concept</p> <p>CSAC Watch</p> | <b>SUPPORT</b> | <p><b>Amended 8/21:</b></p> <p><b>This bill would require the Chair of the California Transportation Commission to create a Road Usage Charge (RUC) Technical Advisory Committee in consultation with the Secretary of the Transportation Agency. The bill would require the technical advisory committee to study RUC alternatives to the gas tax and to make recommendations to the Secretary of the Transportation Agency on the design of a pilot program, as specified. The bill would also authorize the technical advisory committee to make recommendations on the criteria to be used to evaluate the pilot program. The bill would require the technical advisory committee to consult with specified entities and to consider certain factors in carrying out its duties. The bill would require the Transportation Agency, based on the recommendations of the technical advisory committee, to implement a pilot program to identify and evaluate issues related to the potential implementation of an RUC program in California by January 1, 2017. The bill would require the agency to prepare and submit a report of its findings to the technical advisory committee, the commission, and the appropriate fiscal and policy committees of the Legislature by no later than June 30, 2018, as specified. The bill would also require the commission to include its recommendations regarding the pilot program in its annual report to the Legislature, as specified. The bill</b></p> |

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|                   |  |  |  |                | <p><b>would repeal these provisions on January 1, 2019.</b></p> <p>Amended 6/25/14: This bill would establish a Mileage-Based Fee (MBF) Task Force within the California Transportation Commission, as specified. The bill would require the task force to study MBF alternatives to the gas tax and to make recommendations to the Department of Transportation and the commission on the design of a pilot program, as specified. Would also authorize the task force to make recommendations on the criteria to be used to evaluate the pilot program. <i>The bill would require the task force to consult with specified entities and to consider certain factors in carrying out its duties.</i> The bill would require the Transportation Agency, based on the recommendations of the task force, to develop and implement a pilot program by January 1, 2016, to identify and evaluate issues related to the potential implementation of a MBF program in California. The bill would require the agency to prepare and submit a report of its findings to the task force, the commission, and the appropriate fiscal and policy committees of the Legislature by no later than June 30, 2017, as specified. The bill would also require the commission to include its recommendations regarding the pilot program in its annual report to the Legislature, as specified. The bill would repeal these provisions on January 1, 2018. <i>Original bill: This bill requires the Transportation Agency to develop a pilot program by January 1, 2016, to explore various methods for using a mileage-based fee (MBF) to replace the state's existing fuel excise tax.</i></p> |
| SB 1122<br>Pavley | Sustainable Communities:<br>Strategic Growth Council | SEN Appropriations<br><br>Held in Com under submission | Support<br><br>LCC Watch<br><br>CSAC Pending | <b>SUPPORT</b> | <p><u>Amended 3/24, 4/8, 5/5</u></p> <p>This bill would additionally require the Strategic Growth Council to provide financial assistance for its purposes, to be funded from moneys from the Greenhouse Gas Reduction Fund, upon appropriation by the Legislature, and would additionally require the regional plan or other planning instrument to meet the requirements of an applicable sustainable communities strategy.</p> <p>The bill would require the council to <del>develop and implement regional grant programs</del> manage and award financial assistance for the purpose of supporting the implementation of sustainable communities strategies,</p>  |



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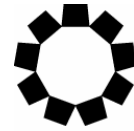
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|                                    |   |  |   |              | <p>alternative transportation plans, or other regional greenhouse gas emission reduction plans within a developed area.</p> <p>The bill also would authorize the council to award financial assistance for the development and implementation of agricultural, natural resource, and open space land protection plans that are consistent with the implementation of sustainable communities strategies, alternative transportation plans, or other regional greenhouse gas emission reduction plans.</p>  |
| <b>SB 1156</b><br><b>Steinberg</b> | <b>California Carbon Tax Law Of 2014</b>  | <b>SEN Govt and Finance Hearing cancelled by author Last action 4/2/14</b> | <p>Watch</p> <p>LCC Watch</p> <p>CSAC Pending</p> | <b>WATCH</b> | <p>This bill, effective January 1, 2015, would impose a carbon tax of an unspecified amount per ton of carbon-dioxide-equivalent emissions on suppliers of fossil fuels. The bill would require the State Board of Equalization to administer and implement the carbon tax, and would require revenues from the tax to be deposited in the Carbon Tax Revenue Special Fund in the State Treasury.</p> <p>The bill would exempt suppliers of fossil fuels subject to the tax from regulations imposed by the State Air Resources Board under the California Global Warming Solutions Act of 2006 relative to the compliance obligation in the second compliance period under which suppliers of specified fuels are required to obtain allowances for carbon-dioxide-equivalent emissions under the cap-and-trade program adopted by the State Air Resources Board.</p> <p>The bill would state the intent of the Legislature that revenues from the carbon tax be rebated to taxpayers, particularly low- and medium-income taxpayers, of other taxes, and for implementation of the carbon tax to be revenue neutral.</p> <p>This bill would include a change in state statute that would result in a taxpayer paying a higher tax within the meaning of Section 3 of Article XIII A of the California Constitution, and thus would require for passage, the approval of 2/3 of the membership of each house of the Legislature.</p> <p>The bill would take effect immediately as a tax levy.</p> |
| <b>SB 1184</b><br><b>Hancock</b>   | <b>San Francisco Bay Conservation and Development Commission: Sea Level Rise—Regional Resilience Strategy</b> | <b>SEN Appropriations</b>  | <p>Watch/Amendment needed</p>                     | <b>WATCH</b> | <p>SB 1184 would require the San Francisco Bay Conservation and Development Commission (BCDC) to prepare a regional resilience strategy for adapting to sea level rise in the San Francisco Bay.</p>   |

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|                               |   | Held in Com-<br>under<br>submission<br>Suspense<br>File  | LCC<br>Watch<br>CSAC<br>Pending            |              |   |
| <b>SB 1260<br/>DeSaulnier</b> | <b>Local Government: Affordable Housing</b> | <b>SEN Appropriations</b><br><br><b>Held in Com under submission</b><br><br><b>Suspense File</b> | Watch<br><br>LCC<br>Watch<br>CSAC<br>Watch | <b>WATCH</b> | SB 1260 would conform the housing requirements in the Infrastructure Financing District (IFD) Law with those of the Community Redevelopment Law, as proposed to be amended by SB 1 (Steinberg). The bill also amends the provisions of SB 1 to require SCIA's to: --Replace dwelling units housing low- and moderate-income households that are destroyed or removed from the area by public or private action within two years with units that have an equal or greater number of bedrooms.<br>--Ensure that at least 20% of all new and substantially rehabilitated units developed publicly or privately within the district are affordable to low- or moderate-income households. Forty percent of these affordable units must be affordable to very low-income households. |

# ASSOCIATION OF BAY AREA GOVERNMENTS

Representing City and County Governments of the San Francisco Bay Area



ABAG

## AGENDA

### **FINANCE AND PERSONNEL COMMITTEE**

Thursday, September 18, 2014, 5:00 PM

Location:

Joseph P. Bort MetroCenter  
Association of Bay Area Governments  
101 8<sup>th</sup> Street, Conference Room B  
Oakland, California

*The ABAG Finance and Personnel Committee may take action on any item on this agenda.*

*Agenda and attachments available at [abag.ca.gov](http://abag.ca.gov)*

*For information, contact Herbert Pike, Finance Director, at (510) 464-7902.*

#### **1. CALL TO ORDER**

#### **2. PUBLIC COMMENT**

Information.

#### **3. APPROVAL OF MINUTES OF MAY 15, 2014.**

ACTION.

*Minutes of July 17, 2014 meeting attached.*

#### **4. PRESENTATION AND REVIEW OF FINANCIAL REPORTS FOR JUNE AND JULY 2014.**

Information/ACTION.

*Financial Reports for June (Year-end) and July 2014 are attached.*

**ABAG Finance and Personnel Committee**

September 18, 2014

2

**5. RESOLUTION FIXING THE ASSOCIATION OF BAY AREA GOVERNMENTS' CONTRIBUTION UNDER THE PUBLIC EMPLOYEES' MEDICAL AND HOSPITAL ACT FOR EMPLOYER, CODE 1642**

ACTION.

*This resolution replicates the action of Resolution No. 14-14 approved in July, but is in a CalPERS-specific format, the only format they will accept.*

**6. PLAN TO ELIMINATE STRUCTURAL DEFICIT IN THE AGENCY'S INDIRECT OVERHEAD**

Information.

Staff report is attached.

**7. CLOSED SESSION**

**A. Conference with Labor Negotiators**

Agency designated representatives: Brian Kirking, Brad Paul and Herb Pike.

Employee organization: SEIU Local 1021.

**B. Public Employee Performance Evaluation**

Title: Legal Counsel

**C. Public Employee Performance Evaluation**

Title: Executive Director

**8. ADJOURNMENT**

Submitted:

Herbert Pike, Finance Director

Date: September 8, 2014

## **ABAG FINANCE AND PERSONNEL COMMITTEE**

### **Summary Minutes**

**July 17, 2014**

#### **Members Present**

Mayor Bill Harrison  
Supervisor Karen Mitchoff  
Councilmember Desley Brooks  
Supervisor Scott Haggerty  
Councilmember Julie Pierce  
Supervisor Mark Luce  
Supervisor David Cortese

#### **Jurisdiction**

City of Fremont  
County of Contra Costa  
City of Oakland  
County of Alameda  
City of Clayton  
County of Napa  
County of Santa Clara

#### **Members Absent**

Supervisor Dave Pine  
Supervisor John Gioia  
Councilmember Ronit Bryant  
Supervisor David Rabbitt

County of San Mateo  
County of Contra Costa  
City of Mountain View  
County of Sonoma

#### **Officers and Staff Present**

Ezra Rapport, Executive Director  
Bradford Paul, Asst. Exec. Director  
Kenneth Moy, Legal Counsel  
James Hill, Risk Manager  
Herbert Pike, Finance Director  
Susan Hsieh, Asst. Finance Director

#### **Guests**

Councilmember Pat Eklund  
Ken Bukowski, Videographer

City of Novato

1. The meeting was called to order by Mayor Harrison, Committee Chair, at 5:00 pm.
2. There was no public comment.
3. Summary Minutes of the May 15, 2014 meeting were approved.  
/M/Haggerty/S/Luce/C/approved unanimously.

4. Pike presented the financial reports for May 2014.  
/M/Pierce/S/Haggerty/C/ acceptance of the report unanimously.
5. Pike presented a Resolution Fixing the ABAG Contribution under the Public Employees' Medical and Hospital Act for Employer Code 1642. This action, to take effect on January 1, 2015, sets the retiree medical contribution at the minimum level in order to effect the two tier retiree health contributions set forth in approval of the 2009 labor contract. Implementation will require ABAG to reimburse the legacy (Tier I) beneficiaries because CalPERS does not accommodate two tier contribution levels.  
/M/Mitchoff/S/Luce/C/unanimously approved for Executive Board approval.
6. Pike presented proposed new vendor application form and minor changes recommended for implementation.
7. The Committee acknowledged the process and schedule for the Public Employee Performance Evaluation of Legal Counsel with no changes.
8. The Resolution to Approve an Amended Agreement to Allow for Re-Financing of the Agency's Windemere Ranch Capital Financing Program Debt was presented.  
/M/Luce/S/Pierce/C/unanimously approved for Executive Board approval.
9. Rapport provided informational report on the actions of the ABAG PLAN Board to contract out claims adjusting services and the resultant impacts on ABAG to re-balance its budget.
10. There was no reportable action from Closed Session.
11. Meeting was adjourned at approximately 5:40 pm.

Submitted: Herbert Pike, Finance Director

Date: July 23, 2014

TO: Finance and Personnel Committee

DT: August 20, 2014

FM: Herbert Pike, Finance Director

Re: Financial Reports  
-- June 2014

The following are highlights of the financial reports for June 2014.

### **Overall Summary**

ABAG recognized a surplus of \$639 thousand for the year ended June 30, 2014. Of this amount, \$50 thousand was set aside for contingency reserve pursuant to the existing policy direction. The surplus was derived primarily from Agency Administration, the Training Center/Publications, and the Payroll Clearing Account. During the year, employees worked on more billable projects than expected and resulted in lower spending on dues-funded activities. The Training Center/Publications yielded a surplus of \$64 thousand. The surplus from the Payroll Clearing Account was primarily attributed to low vacation usage and favorable recovery from some benefits. Savings in this account might be used to offset excess expenses and under recovery of benefits in future years. For example, unemployment claim payments in FY 14-15 or future years may exceed amounts collected in those years. Excess collections from prior years may also be used to cover the shortfalls or unfunded actuarial accrued liabilities. Please refer to the **Table of Financial Report Data Elements** for actual and adopted numbers.

### **Cash on Hand**

The cash balance was \$7.25 million at the end of June including \$2.2 million invested with the Local Agency Investment Fund (LAIF). There was a slight decrease of \$58 thousand from the prior month and a significant increase of \$2.16 million from the prior year. Figure 1 depicts the actual cash balances for FY 13 and FY 14. The high cash balance is primarily attributed to grant advances for SFEP projects and the BayREN/Energy project. Unspent funds of approximately \$4.8 million are recorded as unearned revenues. Funds will be distributed to subrecipients as expenditures are incurred. Subrecipients for the BayREN project have not been drawing down the funds for incentive rebates as rapidly as expected. These funds are for single and multifamily energy retrofits. Ultimately, the incentive rebates will be distributed and benefit the Bay Area residents in the nine counties.

### **Receivables**

Receivables from grant and service programs amounted to \$4.87 million at the end of June comprised primarily of \$2.01 million in grants receivables and \$2.67 million in unbilled receivables. The total increased by \$132 thousand from the prior month and decreased by \$647 thousand from the prior year. The increase/decrease from the prior period is reasonable as fluctuations are expected from one period to another (depending on timing of expenditures). Throughout the year, accounting staff have been very diligent in sending out invoices in a timely manner and following up on past due invoices to reduce the average age of outstanding receivables. Figure 2 depicts the actual receivable balances for FY 13 and FY 14. Unbilled receivables will be billed in August and early September.

### **Revenues and Expenses**

Year-to-date revenues exceeded expenses by \$639 thousand as of June 30, 2014. Total revenues amounted to \$29.07 million, or 119 percent, of the adopted revenues estimate of \$24.46 million. Total expenses amounted to \$28.43 million, or 116 percent, of the adopted expenses of \$24.41 million. Revenues and expenses are 19 percent and 16 percent, respectively above budget. The variances were primarily caused by the BayREN project. The contract was awarded after the budget was adopted, and subrecipients incurred significant expenditures during the year. Figure 3 presents a comparison of current month, year-to-date actual and adopted revenues and expenses. Figure 4 shows year-to-date revenues by major category, and Figure 5 shows year-to-date expenses by major category.

### **Net Position/Fund Equity**

Total fund equity was \$2.89 million as of June 30 including \$2.18 million in general fund equity and \$710 thousand in restricted fund equity. The fund equity increased by \$153 thousand compared to the prior month and increased by \$639 thousand compared to the prior year. The restricted fund equity consists of capital, self-insurance, building maintenance and reserves. A portion of the net surplus was reserved for contingency to reflect the commitment to increase restricted reserves by \$50 thousand per year. Figure 6 presents actual and adopted general, restricted, and total fund equities for the current fiscal year.

### **Indirect Overhead Rate**

The Agency's actual indirect cost (overhead) rate through June was 43.18 percent, or 0.23 percent above the budget estimate of 42.95 percent. This means ABAG has charged less to grants for overhead expense than what was actually spent. The under recovery of overhead will be recorded as a receivable and billed to projects in FY 15-16. Figure 7 shows a comparison between the actual indirect cost rate and the approved rate.

### **Financial Information by Program**

The **Report by Program of Net Surplus/(Deficit)** is included after the charts. This report presents revenue and expense information by program. It provides an overview of adopted and year-to-date revenue and expense data for major programs such as the Planning Services, San Francisco Estuary Partnership, Bay Trail and POWER/Energy.



**Association of Bay Area Governments**  
**Table of Financial Report Data Elements**  
(thousands of dollars)

**For the Month Ended June 2014**

| Description                          | Adopted Budget | Year-To-Date Actual | % of Budget | Budget Balance |
|--------------------------------------|----------------|---------------------|-------------|----------------|
| <b>ASSETS</b>                        |                |                     |             |                |
| Cash                                 |                | 7,248               |             |                |
| Receivables                          |                | 4,874               |             |                |
| <b>REVENUES</b>                      |                |                     |             |                |
| Membership Dues                      | 1,774          | 1,764               | 99%         | 10             |
| Grants                               | 16,831         | 21,529              | 128%        | (4,698)        |
| Charges for Services and Other       | 5,854          | 5,780               | 99%         | 74             |
| <b>Total Revenues</b>                | <b>24,459</b>  | <b>29,073</b>       | <b>119%</b> | <b>(4,614)</b> |
| <b>EXPENSES</b>                      |                |                     |             |                |
| Salaries and Benefits                | 13,334         | 12,024              | 90%         | 1,310          |
| Pass-through and Consultant Expenses | 9,000          | 14,588              | 162%        | (5,588)        |
| Other Expenses                       | 2,075          | 1,822               | 88%         | 253            |
| <b>Total Expenses</b>                | <b>24,409</b>  | <b>28,434</b>       | <b>116%</b> | <b>(4,025)</b> |
| Change in Net Position               | 50             | 639                 | 1278%       | (589)          |
| Beginning Net Position               | 2,248          | 2,248               | 100%        | -              |
| <b>Ending Net Position</b>           | <b>2,298</b>   | <b>2,887</b>        | <b>126%</b> | <b>(589)</b>   |
| <b>NET POSITION BREAKDOWNS</b>       |                |                     |             |                |
| Unrestricted                         | 1,588          | 2,177               | 137%        | (589)          |
| Restricted                           | 710            | 710                 | 100%        | -              |
| <b>Total Net Position</b>            | <b>2,298</b>   | <b>2,887</b>        | <b>126%</b> | <b>(589)</b>   |
| <b>INDIRECT OVERHEAD</b>             |                |                     |             |                |
| Overhead Rate                        | 42.95%         | 43.18%              |             |                |

**Item 4-A**

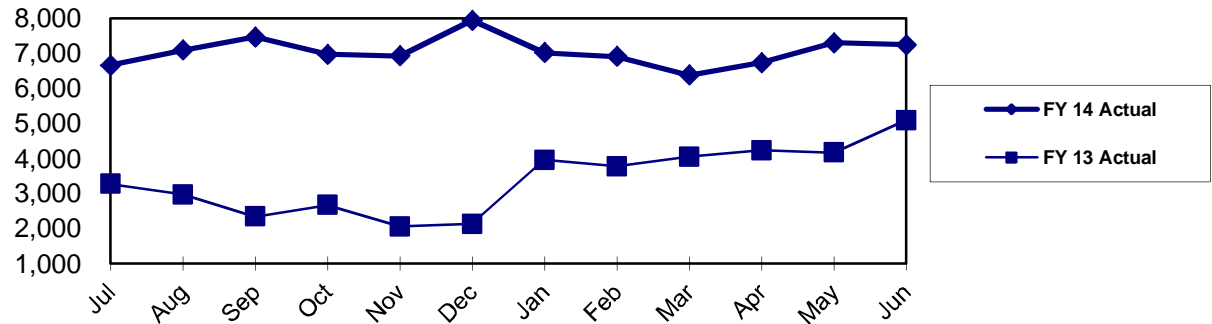
## ABAG Financial Indices

### Cash on Hand FY 13-FY 14 (\$'000)

|              | Jul   | Aug   | Sep   | Oct   | Nov   | Dec   | Jan   | Feb   | Mar   | Apr   | May   | Jun   |
|--------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| FY 14 Actual | 6,667 | 7,091 | 7,472 | 6,974 | 6,933 | 7,943 | 7,017 | 6,907 | 6,385 | 6,741 | 7,306 | 7,248 |
| FY 13 Actual | 3,272 | 2,973 | 2,342 | 2,669 | 2,062 | 2,135 | 3,959 | 3,782 | 4,055 | 4,238 | 4,173 | 5,093 |

**Represents** the sum total of cash deposited at our bank and the Local Agency Investment Fund. This chart shows fluctuation patterns of cash on hand for the current and prior fiscal years.

**Figure 1--Cash on Hand--FY 13 and FY 14 (\$'000)**

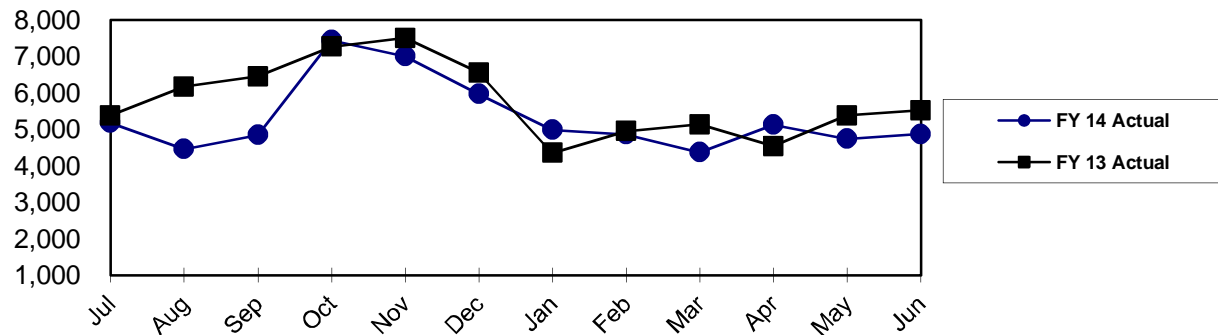


### Accounts Receivable FY 13-FY 14 (\$'000)

|              | Jul   | Aug   | Sep   | Oct   | Nov   | Dec   | Jan   | Feb   | Mar   | Apr   | May   | Jun   |
|--------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| FY 14 Actual | 5,182 | 4,463 | 4,851 | 7,445 | 7,006 | 5,973 | 4,985 | 4,862 | 4,379 | 5,132 | 4,742 | 4,874 |
| FY 13 Actual | 5,382 | 6,170 | 6,452 | 7,273 | 7,505 | 6,560 | 4,356 | 4,952 | 5,141 | 4,545 | 5,385 | 5,521 |

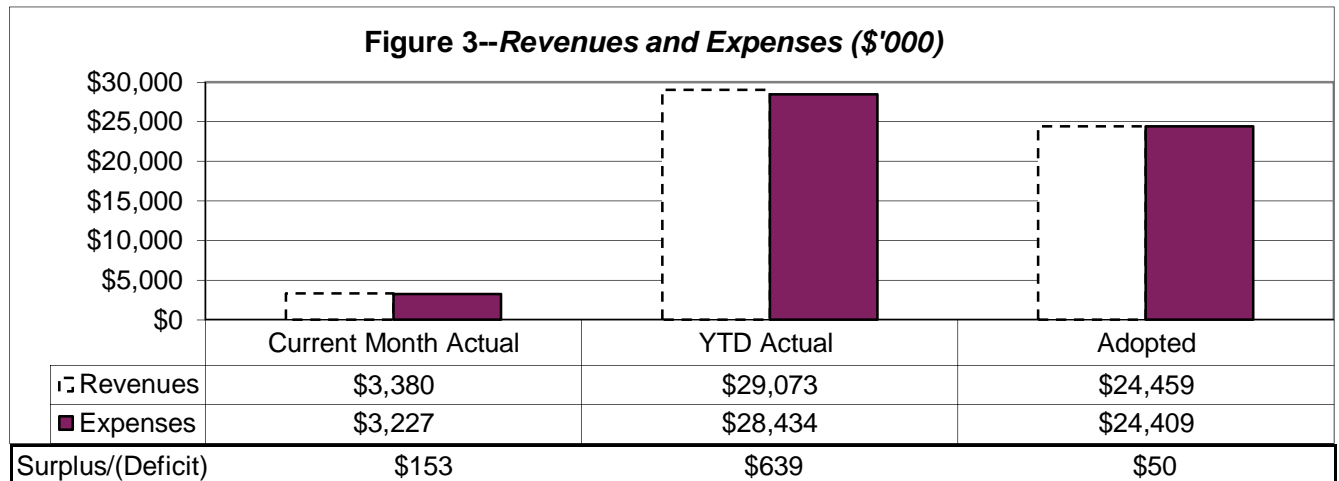
**Accounts** receivable include receivables generated by grants and service programs over two fiscal years. Reflects the reasonableness of our receivable levels; usually have about six weeks' worth of annual revenues in receivables.

**Figure 2--Accounts Receivable--FY 13 and FY 14 (\$'000)**



## ABAG Financial Indices

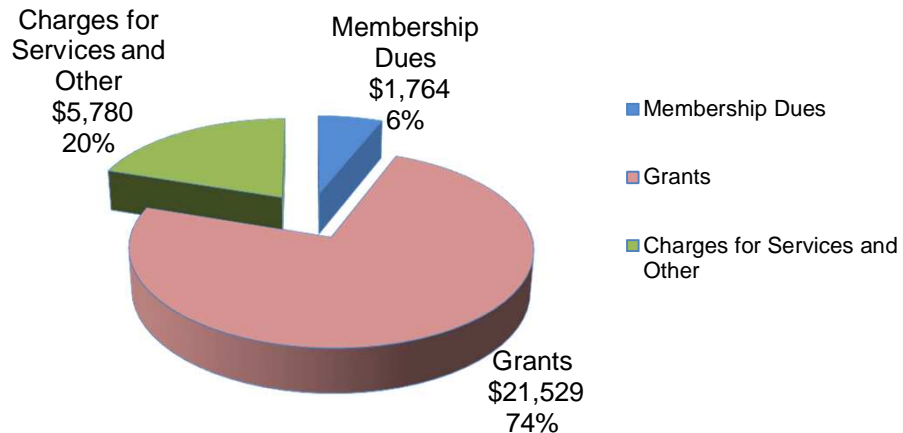
**Presents** a comparison of current month actual, year-to-date actual, and adopted/projected revenues and expenses.



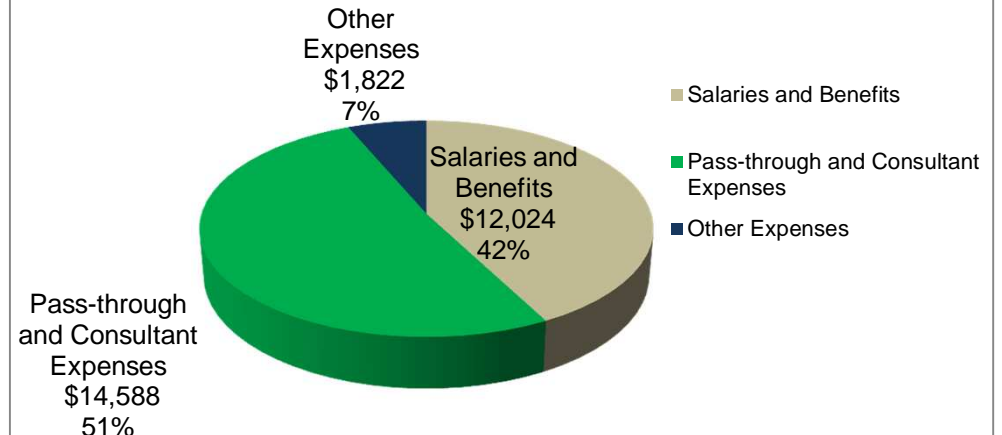
**Shows** year-to-date revenues by major category including membership dues, grants, and charges for services and other.

**Shows** year-to-date expenses by major category including salaries and benefits, pass-through and consultant expenses, and other expenses.

**Figure 4-- Year-to-date Revenues by Category (\$'000)**



**Figure 5-- Year-to-date Expenses by Category (\$'000)**

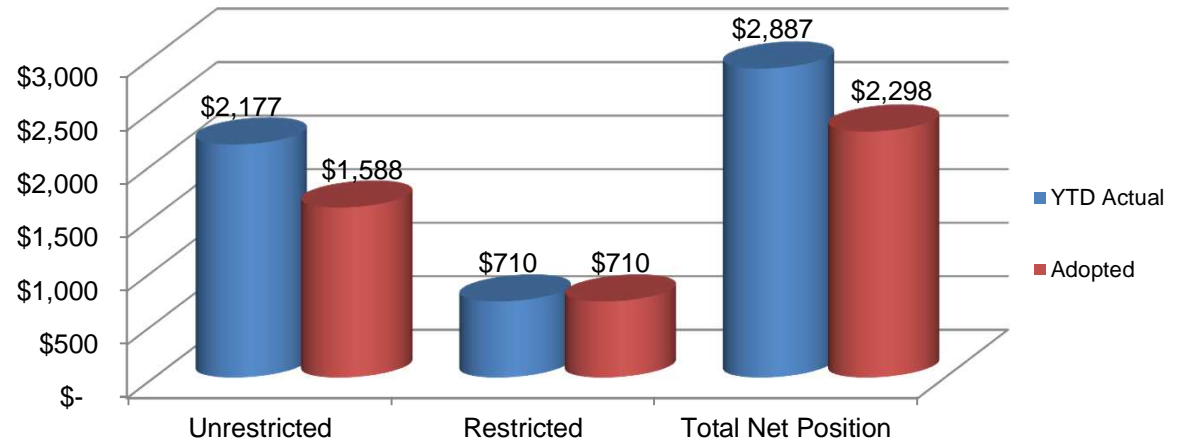


## ABAG Financial Indices

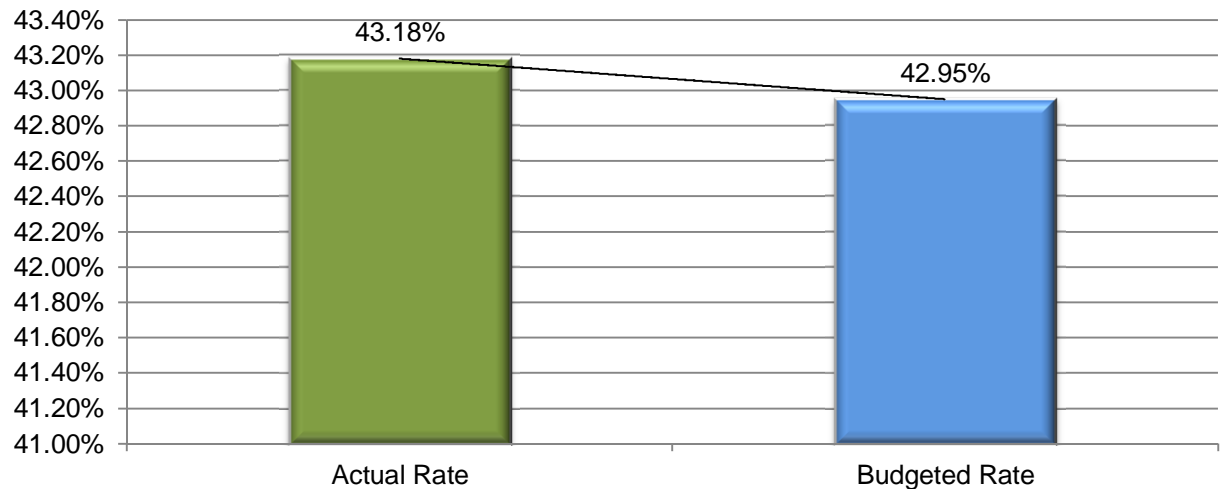
**Presents** actual and adopted/projected general, restricted and total fund equities for the current fiscal year. General fund equity represents unrestricted equity. Restricted equities include building improvement interest, building maintenance, self-insurance, capital and contingency reserve. These restricted equities represent the Association's equities set aside for specific purposes. Total equity is the sum total of general and restricted equities.

**Shows** a comparison between the actual indirect cost rate and the budgeted/approved rate. The approved indirect cost rate is computed by dividing total estimated overhead expenses by total projected direct labor cost for a fiscal year. This rate is used as a standard overhead cost rate to allocate indirect costs to all projects. This process is performed in accordance with an indirect cost plan, which is prepared annually in accordance with federal guidelines.

**Figure 6--Net Position/Fund Equity (\$'000)**



**Figure 7--Indirect Overhead Rate**



# Association of Bay Area Governments

## Report by Program of Net Surplus/(Deficit) Through June 2014

| Program Description                                    | Adopted Budget Expenses * | Year-To-Date Revenues | Year-To-Date Expenses | YTD Surplus/ (Deficit) | % of Expense Budget | Explanations for Variances over 10%  |
|--|---------------------------|-----------------------|-----------------------|------------------------|---------------------|--|
|  | A                         | B                     | C                     | D = B - C              | E = C/A             |  |
| Planning Services                                      | 3,865,000                 | 3,878,998             | 3,888,753             | (9,755)                | 101%                |  |
| San Francisco Estuary Partnership                      | 5,650,000                 | 5,472,849             | 5,483,349             | (10,499)               | 97%                 |  |
| Disaster Recovery                                      | 375,000                   | 230,169               | 236,599               | (6,430)                | 63%                 | Less than budgeted expenditures were primarily attributed to timing of expenditures.   |
| Bay Trail  | 1,660,000                 | 1,658,887             | 1,671,688             | (12,801)               | 101%                |  |
| Green Business   | 120,000                   | 89,874                | 89,874                | -                      | 75%                 | The decrease in expenditures is in line with reduction in revenues.  |
| Training Center, Web Hosting and Publications          | 604,000                   | 604,212               | 539,920               | 64,292                 | 89%                 | This is a favorable variance and resulted in a net surplus.  |
| POWER/Energy   | 5,579,861                 | 11,053,523            | 11,070,456            | (16,933)               | 198%                | The BayREN contract was awarded after the FY 13-14 budget was adopted. Significant expenditures were incurred by subrecipients during the year.  |
| Finance Authority                                      | 1,500,000                 | 1,296,554             | 1,255,066             | 41,488                 | 84%                 | Actual program expenditures were lower than budget.  |
| Plan Corporation - Property & Liability Insurance Pool | 2,900,000                 | 2,660,056             | 2,660,056             | -                      | 92%                 |  |
| SHARP - Worker's Comp Pool                             | 110,000                   | 153,497               | 153,497               | -                      | 140%                | Actual program expenditures exceeded budget.   |
| Fiscal Agent Services                                  | 101,200                   | 133,375               | 120,215               | 13,160                 | 119%                | Actual revenues and expenditures exceeded budget and resulted in a net surplus.  |
| Communications/Legislative                             | 835,000                   | 643,002               | 637,202               | 5,800                  | 76%                 | Staff worked on more billable projects than budgeted and partly contributed to the surplus in Agency Administration due to lower spending on membership dues (see below).  |
| Agency Administration                                  | 1,109,102                 | 1,194,544             | 1,024,652             | 169,892                | 92%                 |  |
| Payroll Clearing                                       |                           | -                     | (400,428)             | 400,428                | N/A                 | The surplus in the payroll clearing account is primarily attributed to lower vacation usage during the year and favorable recovery from some benefits. This account can result in a surplus in some years and a deficit in some years depending on the timing of vacation usage and payments of benefits such as unemployment insurance and OPEB liability. Savings/excess collections from one year may be used to offset excess expenses and under recovery of benefits in future years. |
| Central Overhead                                       | 3,236,212                 | 3,315,022             | 3,315,022             | -                      | 102%                |  |
| <b>Totals</b>  | <b>27,645,375</b>         | <b>32,384,562</b>     | <b>31,745,919</b>     | <b>638,643</b>         | <b>115%</b>         |  |

\* Adopted expenses equal to adopted revenues for all programs except for Agency Administration in which a \$50K surplus was budgeted.

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TO: Finance and Personnel Committee

DT: August 22, 2014

FM: Herbert Pike, Finance Director

Re: Financial Reports  
-- July 2014

The following are highlights of the financial reports for July 2014.

### **Overall Summary**

ABAG recognized a deficit of \$103 thousand for the month ended July 2014. Revenues and expenses were slightly above budget. The deficit was the result of high leave usage (or reduction in billable hours), which is consistent with the seasonal pattern in the past. In addition, one of the SFEP projects incurred more expenditures than actual revenues received. The project deficit will be eliminated during later part of the year as contributions from other funding agencies are received. Please refer to the **Table of Financial Report Data Elements** for actual and adopted numbers.

### **Cash on Hand**

The cash balance was \$7.24 million at the end of July including \$2.2 million invested with the Local Agency Investment Fund (LAIF). There was a slight decrease of \$5 thousand from the prior month and an increase of \$576 thousand from the prior year. Figure 1 depicts the actual cash balances for FY 14 and FY 15 and the projected balances for the remaining year. The high cash balance is primarily attributed to grant advances for SFEP projects and the BayREN/Energy project. Funds will be distributed to subrecipients as expenditures are incurred. ABAG expects subrecipients for the BayREN project to draw down the funds for incentive rebates during the first half of the fiscal year (the project will end in December 2014). These funds are for single and multifamily energy retrofits. The incentive rebates will benefit the Bay Area residents in the nine counties. Unspent funds of approximately \$5.7 million are recorded as unearned revenues. The cash balance is projected to be about \$6 million at the end of the fiscal year.

### **Receivables**

Receivables from grant and service programs amounted to \$6.12 million at the end of July comprised primarily of \$3.25 million in grants receivables and \$2.3 million in unbilled receivables. The total increased by \$1.24 million from the prior month and \$934 thousand from the prior year. Due to the fiscal year-end close, June unbilled receivables will not be billed until August and resulted in a high balance in July. Figure 2 depicts the actual receivable balances for FY 14 and FY 15 and the projected balances for the remaining year. Total receivable is expected to be approximately \$5.5 million at the end of the year.

### **Revenues and Expenses**

Expenses exceeded revenues by \$103 thousand as of July 31. Total revenues amounted to \$2.43 million, or 10 percent, of the adopted budget revenues of \$23.67 million. Total expenses amounted to \$2.53 million, or 11 percent, of the adopted budget expenses of \$23.62 million. Revenues and expenses are 2 percent and 3 percent above the 8 percent budgeted. ABAG

operations are expected to yield a net surplus of \$50 thousand as of June 30, 2015. Figure 3 presents a comparison of current month, year-to-date actual and budgeted revenues and expenses. Figure 4 shows year-to-date revenues by major category, and Figure 5 shows year-to-date expenses by major category.

#### **Net Position/Fund Equity**

Total fund equity was \$2.78 million as of July 31 including \$2.07 million in general fund equity and \$710 thousand in restricted fund equity. The fund equity decreased by \$103 thousand compared to the prior month. The restricted fund equity consists of capital, self-insurance, building maintenance and reserves. A surplus is projected at year end and will be reserved for contingency to reflect the commitment to increase restricted reserves by \$50 thousand per year. Figure 6 presents actual and adopted general, restricted, and total fund equities for the current fiscal year.

#### **Indirect Overhead Rate**

The Agency's actual indirect cost (overhead) rate for the first month of the fiscal year was 43.16 percent, or 1.79 percent below the budget estimate of 44.95 percent. This means that for the month of July, ABAG has charged more to grants for overhead expense than what was actually spent. The actual realized overhead rate is expected to approach the budgeted rate towards the end of the fiscal year. Figure 7 shows a comparison between the actual indirect cost rate and the approved rate.

#### **Financial Information by Program**

The **Report by Program of Net Surplus/(Deficit)** is included after the charts. This report presents revenue and expense information by program. It provides an overview of budgeted and year-to-date revenue and expense data for major programs such as the Planning Services, San Francisco Estuary Partnership, Bay Trail and POWER/Energy.



**Association of Bay Area Governments**  
**Table of Financial Report Data Elements**  
(thousands of dollars)

For the Month Ended July 2014

Projected percentage  
of budget is 8%.

| Description                          | Adopted<br>Budget | Year-To-<br>Date<br>Actual | % of<br>Budget | Budget<br>Balance |
|--------------------------------------|-------------------|----------------------------|----------------|-------------------|
| <b>ASSETS</b>                        |                   |                            |                |                   |
| Cash                                 | 6,000             | 7,243                      | 121%           | (1,243)           |
| Receivables                          | 5,500             | 6,116                      | 111%           | (616)             |
| <b>REVENUES</b>                      |                   |                            |                |                   |
| Membership Dues                      | 1,821             | 152                        | 8%             | 1,669             |
| Grants                               | 15,881            | 1,751                      | 11%            | 14,130            |
| Charges for Services and Other       | 5,963             | 525                        | 9%             | 5,438             |
| Total Revenues                       | <b>23,665</b>     | <b>2,428</b>               | <b>10%</b>     | <b>21,237</b>     |
| <b>EXPENSES</b>                      |                   |                            |                |                   |
| Salaries and Benefits                | 12,882            | 1,127                      | 9%             | 11,755            |
| Pass-through and Consultant Expenses | 8,500             | 1,257                      | 15%            | 7,243             |
| Other Expenses                       | 2,233             | 147                        | 7%             | 2,086             |
| Total Expenses                       | <b>23,615</b>     | <b>2,531</b>               | <b>11%</b>     | <b>21,084</b>     |
| Change in Net Position               | 50                | (103)                      | -206%          | 153               |
| Beginning Net Position               | 2,887             | 2,887                      | 100%           | -                 |
| Ending Net Position                  | <b>2,937</b>      | <b>2,784</b>               | <b>95%</b>     | <b>153</b>        |
| <b>NET POSITION BREAKDOWNS</b>       |                   |                            |                |                   |
| Unrestricted                         | 2,177             | 2,074                      | 95%            | 103               |
| Restricted                           | 760               | 710                        | 93%            | 50                |
| Total Net Position                   | <b>2,937</b>      | <b>2,784</b>               | <b>95%</b>     | <b>153</b>        |
| <b>INDIRECT OVERHEAD</b>             |                   |                            |                |                   |
| Overhead Rate                        | 44.95%            | 43.16%                     |                |                   |

Item 4-B

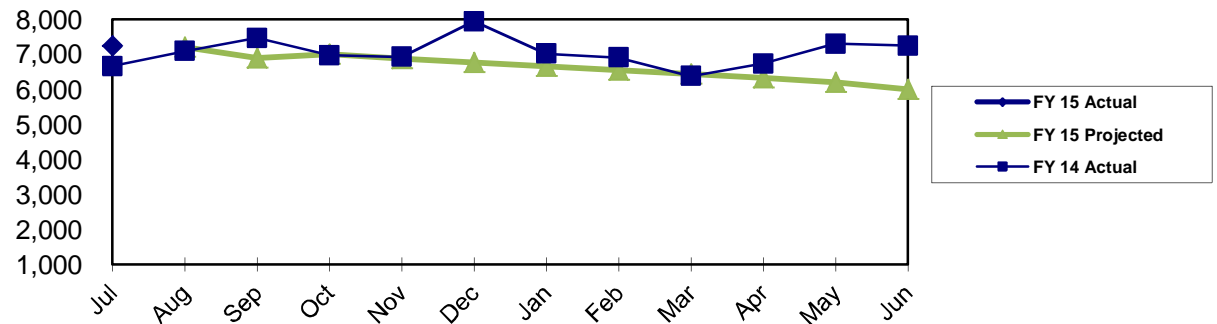
## ABAG Financial Indices

### Cash on Hand FY 14-FY 15 (\$'000)

|                 | Jul   | Aug   | Sep   | Oct   | Nov   | Dec   | Jan   | Feb   | Mar   | Apr   | May   | Jun   |
|-----------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| FY 15 Actual    | 7,243 |       |       |       |       |       |       |       |       |       |       |       |
| FY 15 Projected |       | 7,200 | 6,900 | 7,000 | 6,887 | 6,774 | 6,661 | 6,548 | 6,435 | 6,322 | 6,209 | 6,000 |
| FY 14 Actual    | 6,667 | 7,091 | 7,472 | 6,974 | 6,933 | 7,943 | 7,017 | 6,907 | 6,385 | 6,741 | 7,306 | 7,248 |

**Represents** the sum total of cash deposited at our bank and the Local Agency Investment Fund. This chart shows fluctuation patterns of cash on hand for the current and prior fiscal years.

**Figure 1--Cash on Hand--FY 14 and FY 15 (\$'000)**

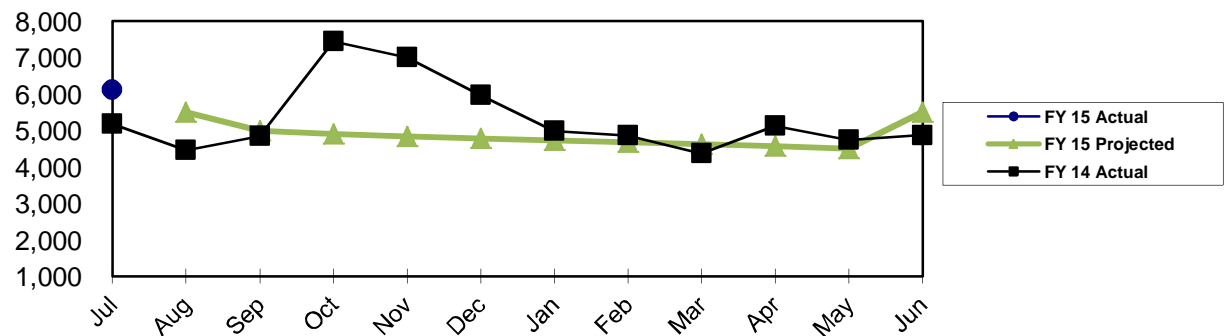


### Accounts Receivable FY 14-FY 15 (\$'000)

|                 | Jul   | Aug   | Sep   | Oct   | Nov   | Dec   | Jan   | Feb   | Mar   | Apr   | May   | Jun   |
|-----------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| FY 15 Actual    | 6,116 |       |       |       |       |       |       |       |       |       |       |       |
| FY 15 Projected |       | 5,500 | 5,000 | 4,900 | 4,844 | 4,788 | 4,732 | 4,676 | 4,620 | 4,564 | 4,508 | 5,500 |
| FY 14 Actual    | 5,182 | 4,463 | 4,851 | 7,445 | 7,006 | 5,973 | 4,985 | 4,862 | 4,379 | 5,132 | 4,742 | 4,874 |

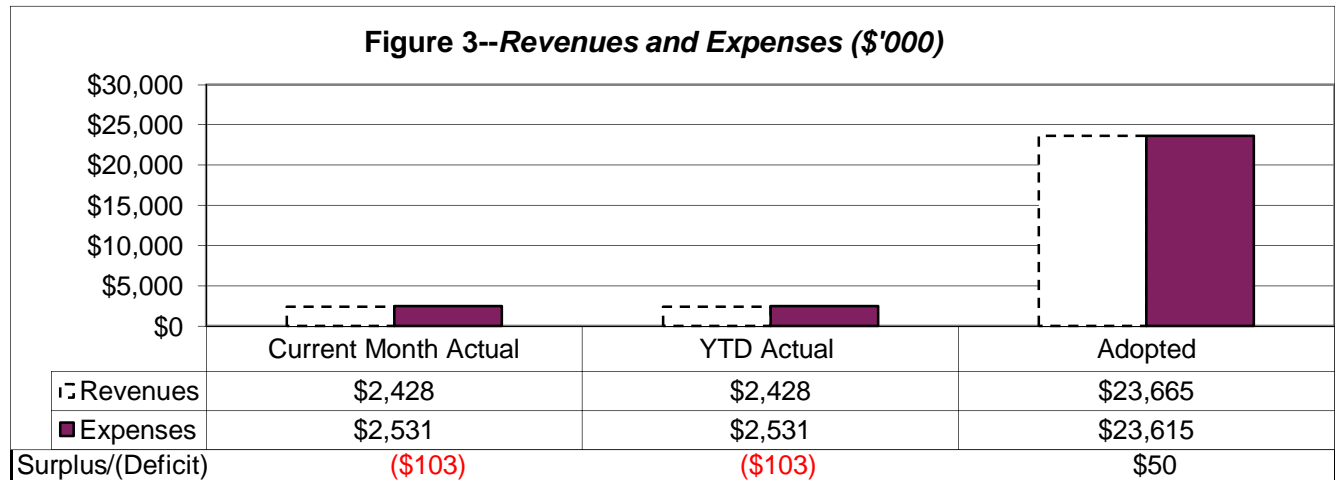
**Accounts** receivable include receivables generated by grants and service programs over two fiscal years. Reflects the reasonableness of our receivable levels; usually have about six weeks' worth of annual revenues in receivables.

**Figure 2--Accounts Receivable--FY 14 and FY 15 (\$'000)**



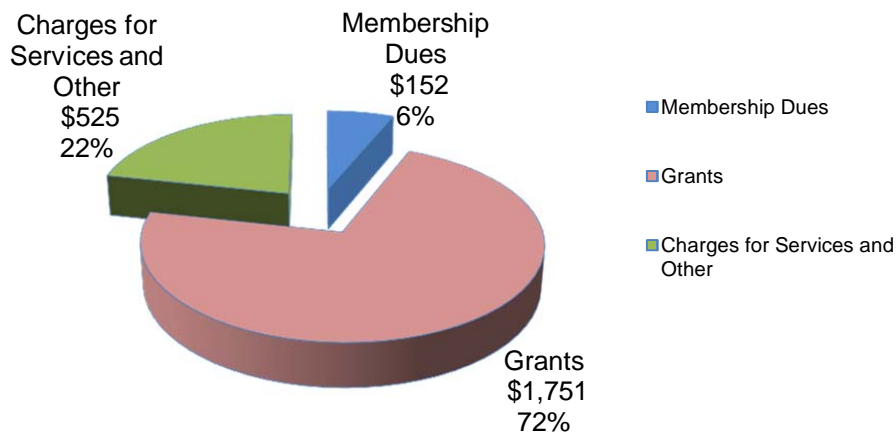
## ABAG Financial Indices

**Presents** a comparison of current month actual, year-to-date actual, and adopted/projected revenues and expenses.



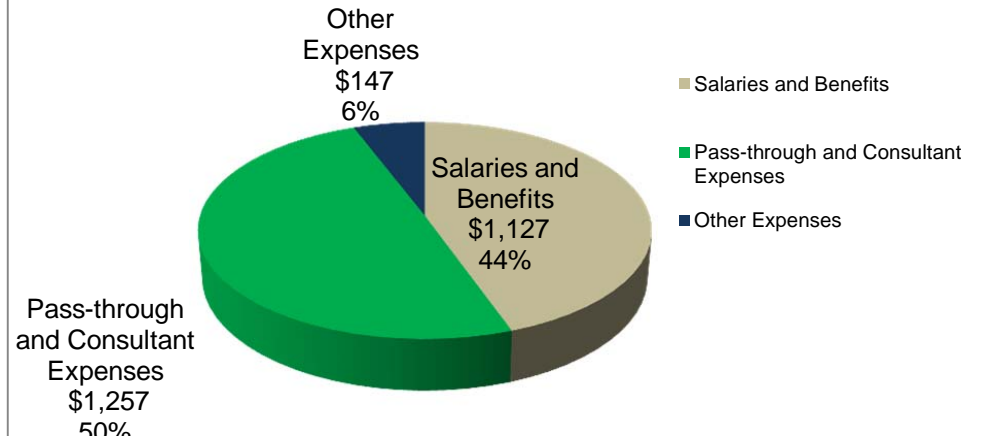
**Shows** year-to-date revenues by major category including membership dues, grants, and charges for services and other.

**Figure 4-- Year-to-date Revenues by Category (\$'000)**



**Shows** year-to-date expenses by major category including salaries and benefits, pass-through and consultant expenses, and other expenses.

**Figure 5-- Year-to-date Expenses by Category (\$'000)**

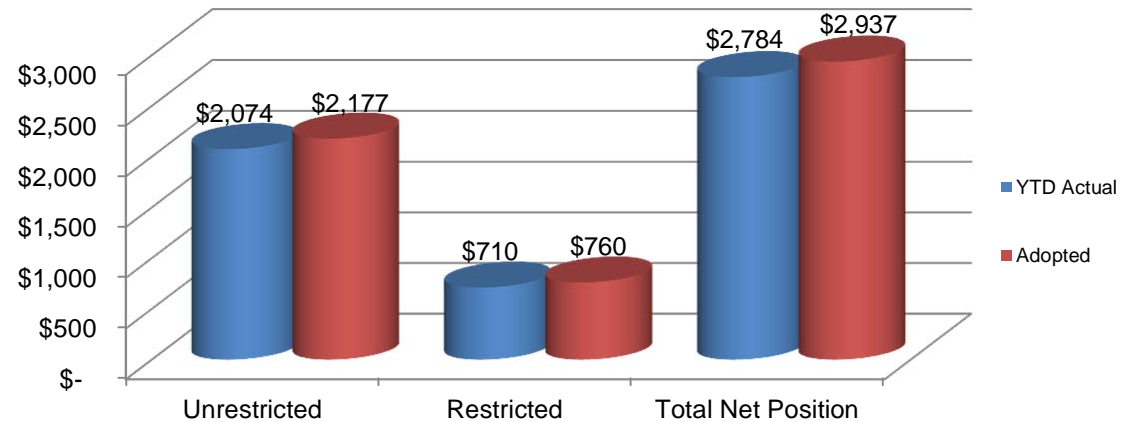


## ABAG Financial Indices

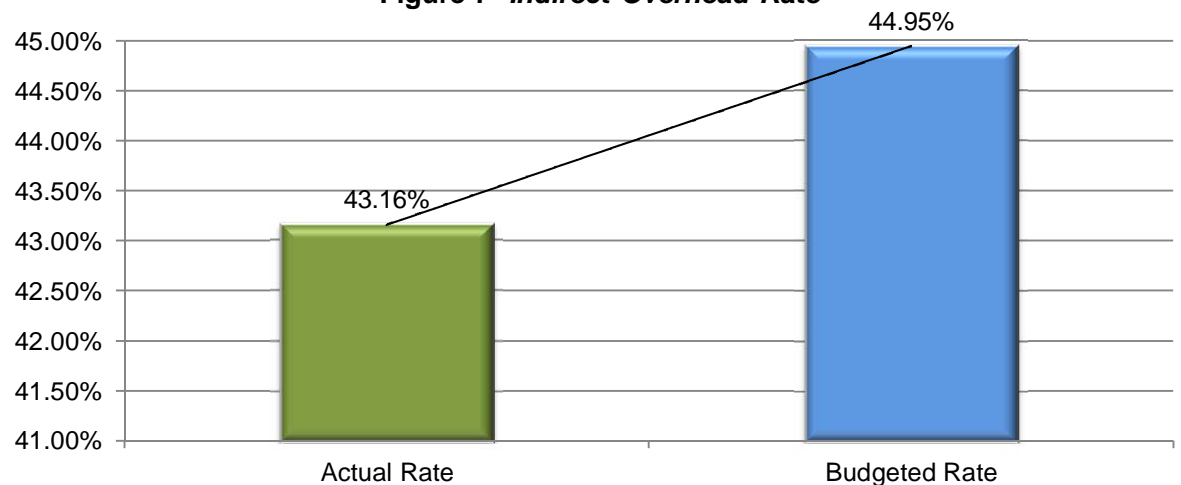
**Presents** actual and adopted/projected general, restricted and total fund equities for the current fiscal year. General fund equity represents unrestricted equity. Restricted equities include building improvement interest, building maintenance, self-insurance, capital and contingency reserve. These restricted equities represent the Association's equities set aside for specific purposes. Total equity is the sum total of general and restricted equities.

**Shows** a comparison between the actual indirect cost rate and the budgeted/approved rate. The approved indirect cost rate is computed by dividing total estimated overhead expenses by total projected direct labor cost for a fiscal year. This rate is used as a standard overhead cost rate to allocate indirect costs to all projects. This process is performed in accordance with an indirect cost plan, which is prepared annually in accordance with federal guidelines.

**Figure 6--Net Position/Fund Equity (\$'000)**



**Figure 7--Indirect Overhead Rate**



## Association of Bay Area Governments

### Report by Program of Net Surplus/(Deficit) Through July 2014 / 8% of Year Elapsed

| Program Description                                    | Adopted Budget Expenses * | Year-To-Date Revenues | Year-To-Date Expenses | YTD Surplus/ (Deficit)<br>D = B - C | % of Expense Budget<br>E = C/A | Explanations for Variances over 5%  |
|--|---------------------------|-----------------------|-----------------------|-------------------------------------|--------------------------------|---|
|  | A                         | B                     | C                     | D = B - C                           | E = C/A                        |   |
| Planning Services                                      | 3,967,523                 | 349,355               | 349,355               | -                                   | 9%                             |   |
| San Francisco Estuary Partnership                      | 5,549,917                 | 319,198               | 392,395               | (73,197)                            | 7%                             |   |
| Disaster Recovery                                      | 400,000                   | 27,278                | 27,278                | -                                   | 7%                             |   |
| Bay Trail  | 1,737,560                 | 87,535                | 87,535                | -                                   | 5%                             |   |
| Green Business   | 120,000                   | 6,750                 | 6,750                 | -                                   | 6%                             |   |
| Training Center, Web Hosting and Publications          | 672,500                   | 50,871                | 54,364                | (3,493)                             | 8%                             |   |
| POWER/Energy   | 4,650,000                 | 1,061,679             | 1,062,604             | (924)                               | 23%                            | Actual expenditures for the BayREN project exceeded budget estimate.                  |
| Finance Authority                                      | 1,500,000                 | 129,591               | 126,117               | 3,474                               | 8%                             |   |
| Plan Corporation - Property & Liability Insurance Pool | 2,900,000                 | 213,686               | 213,686               | -                                   | 7%                             |   |
| SHARP - Worker's Comp Pool                             | 140,000                   | 4,153                 | 4,153                 | -                                   | 3%                             |   |
| Fiscal Agent Services                                  | 101,200                   | 15,249                | 15,455                | (206)                               | 15%                            | Accounting staff spent more time on contracted services due to fiscal year-end close. |
| Communications/Legislative                             | 860,000                   | 61,680                | 61,680                | -                                   | 7%                             |   |
| Agency Administration                                  | 1,016,061                 | 101,200               | 98,543                | 2,657                               | 10%                            |   |
| Payroll Clearing                                       |                           | -                     | 43,654                | (43,654)                            | N/A                            | The deficit was the result of low billable hours.                                     |
| Central Overhead                                       | 3,333,913                 | 307,911               | 295,808               | 12,103                              | 9%                             |   |
| <b>Totals</b>  | <b>26,948,674</b>         | <b>2,736,136</b>      | <b>2,839,377</b>      | <b>(103,241)</b>                    | <b>11%</b>                     |   |

\* Adopted expenses equal to adopted revenues for all programs except for Agency Administration in which a \$50K surplus was budgeted.

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**DATE:** September 4, 2014

**TO:** FINANCE & PERSONNEL COMMITTEE

**FROM:** Herbert L. Pike, C.P.F.O., Finance Director

**SUBJECT:** RESOLUTION No. 16-14 in CalPERS FORMAT TO REPLACE  
RESOLUTION 14-14 RE ABAG CONTRIBUTIONS FOR MEDICAL INSURANCE

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At the July meeting of both the Finance & Personnel Committee and the Executive Board, Resolution 14-14 was presented and approved to modify the ABAG payments to CalPERS on behalf of our employees and retirees. Because of the “cafeteria plan” available to our employees, there is no net impact.

For the retirees, this action is necessary to enable ABAG to effectuate the two-tier retiree medical benefit commenced back on July 1, 2009 pursuant to the negotiated labor agreement. The effective date of this action will be January 1, 2015. It will necessitate a change in process in how the ABAG contribution to our legacy (Tier 1) retirees receive their medical benefits from ABAG, the net dollar amount of their benefits will not change. Commencing in January, they will commence receiving a check from ABAG for their medical benefits instead of ABAG paying directly to CalPERS for the retiree medical costs.

The attached modified resolution, Resolution No. 16-14, is required by CalPERS to effectuate what was stated in Resolution No. 14-14.

F&PC AGENDA ITEM #5

**RESOLUTION FIXING THE EMPLOYER'S CONTRIBUTION UNDER THE  
PUBLIC EMPLOYEES' MEDICAL AND HOSPITAL CARE ACT**

- WHEREAS, (1) Government Code Section 22892(a) provides that a local agency contracting under the Public Employees' Medical and Hospital Care Act shall fix the amount of the employer's contribution at an amount not less than the amount required under Section 22892(b) of the Act, and
- WHEREAS, (2) Association of Bay Area Governments is a local agency contracting under the Act; now, therefore be it
- RESOLVED, (a) That the employer's contribution for each employee or annuitant shall be the amount necessary to pay the cost of his/her enrollment, including the enrollment of his/her family members, in a health benefit plan, up to a maximum of the PEMHCA Minimum per month, plus administrative fees and Contingency Reserve Fund Assessments; and be it further
- RESOLVED, (b) That Association of Bay Area Governments has fully complied with any and all applicable provisions of Government Code Section 7507 in electing the benefits set forth above.

Adopted at a regular meeting of the Executive Board of the Association of Bay Area Governments at Oakland, California this 18<sup>th</sup> day of September, 2014.

Signed:

\_\_\_\_\_  
Julie Pierce, President

Attest:

\_\_\_\_\_  
Frederick Castro, Clerk of the Board



**TO:** Members of the Finance & Personnel Committee

**FR:** Bradford Paul, Assistant Executive Director

**DT:** September 3, 2014

**RE: HOW STAFF ADDRESSED THE RECENT STRUCTURAL BUDGET DEFICIT**

Staff recently completed a plan to eliminate the \$380,000 structural deficit created when PLAN decided to lay off four in-house claims examiners and contract out the work. This deficit included both a reduction of \$286,635 in overhead recoveries formerly anticipated from the overhead charged on the personnel costs of four claims examiners and the loss of PLAN demand for IT support of about \$94,073. Eliminating this deficit required increasing overhead recoveries and reducing overhead costs as follows:

#### **Revenue increases**

Increasing our overhead rate a full 2.0 percent from 42.95% to 44.95%, yielded additional overhead recovery of \$148,051, reducing the deficit to \$272,657.

#### **Cost reductions**

Eliminating a vacant Supply Clerk position (filled by temporary employee) and reducing a filled Supply Clerk to 60% resulted in net savings of \$80,492. The reduction of an Applications Programmer to 50% yielded a net savings of \$57,899. Finally, temp support for Accounting was reduced by \$20,000. Altogether, these reductions yielded net savings of \$158,391. This reduced the net imbalance in overhead to \$114,266.

Finally, a Senior Communications Officer has decided to retire early to help ABAG address this deficit. By not refilling the position, the Agency Administration budget will be reduced by some \$179,978 annually, but that includes \$80,900 in lost revenue to overhead. The net savings of \$100,000 comes close to closing the deficit. The remaining deficit will be addressed by transferring some remaining personnel expense from overhead to Agency Administration which is primarily funded through dues.

These changes will reduce ABAG's capacity, particularly in administrative services. ABAG will be compensating with a combination of efficiencies and offering several vacant cubicles to MTC staff in exchange for their providing some administrative services (e.g. picking up mail at the post office, servicing ABAG's vehicle fleet, and printing).

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Association of Bay Area Governments  
Executive Board

Meeting No. 402, September 18, 2014

|                          |   |
|--------------------------|---|
| PRESIDENT                | Councilmember Julie Pierce, City of Clayton |
| VICE PRESIDENT           | Supervisor David Rabbitt, County of Sonoma  |
| IMMEDIATE PAST PRESIDENT | Supervisor Mark Luce, County of Napa        |
| SECRETARY-TREASURER      | Ezra Rapport                                |
| LEGAL COUNSEL            | Kenneth K. Moy                              |

| County of     | Representative                | Alternate                 |
|---------------|-------------------------------|---------------------------|
| ALAMEDA       | ** Supervisor Richard Valle   | Supervisor Keith Carson   |
| ALAMEDA       | ** Supervisor Scott Haggerty  | Supervisor Nathan Miley   |
| CONTRA COSTA  | * Supervisor Karen Mitchoff   | Supervisor John Gioia     |
| CONTRA COSTA  | * Supervisor Candace Andersen | Supervisor Mary Piepho    |
| MARIN         | ** Supervisor Katie Rice      | Supervisor Susan L. Adams |
| NAPA          | ** Supervisor Mark Luce       | Supervisor Bill Dodd      |
| SAN FRANCISCO | ** Supervisor Eric Mar        | To Be Appointed           |
| SAN FRANCISCO | ** Supervisor Jane Kim        | To Be Appointed           |
| SAN FRANCISCO | ** To Be Appointed            | To Be Appointed           |
| SAN MATEO     | * Supervisor Warren Slocum    | To Be Appointed           |
| SAN MATEO     | * Supervisor Dave Pine        | To Be Appointed           |
| SANTA CLARA   | ** Supervisor Cindy Chavez    | Supervisor Mike Wasserman |
| SANTA CLARA   | ** Supervisor David Cortese   | Supervisor Joe Simitian   |
| SOLANO        | * Supervisor Linda Seifert    | Supervisor Erin Hannigan  |
| SONOMA        | * Supervisor David Rabbitt    | Supervisor Susan Gorin    |

| Cities in the County of | Representative                                       | Alternate                                     |
|-------------------------|--|---|
| ALAMEDA                 | * Mayor Bill Harrison (Fremont)                      | To Be Appointed                               |
| ALAMEDA                 | * Mayor Jerry Thorne (Pleasanton)                    | Mayor Marie Gilmore (Alameda)                 |
| CONTRA COSTA            | ** Councilmember Julie Pierce (Clayton)              | Councilmember Brandt Andersson (Lafayette)    |
| CONTRA COSTA            | ** Vice Mayor Dave Hudson (San Ramon)                | Mayor Greg Lyman (El Cerrito)                 |
| MARIN                   | * Councilmember Pat Eklund (Novato)                  | Councilmember Jessica Jackson (Mill Valley)   |
| NAPA                    | * Mayor Leon Garcia (American Canyon)                | Mayor Ann Nevero (St. Helena)                 |
| CITY OF SAN FRANCISCO   | * Mayor Edwin Lee                                    | Jeff Buckley, Senior Advisor                  |
| CITY OF SAN FRANCISCO   | * Jason Elliott, Dir, Legislative/Government Affairs | Tamsen Drew, Dep Dir, Legislative/Gov Affairs |
| SAN MATEO               | ** Councilmember Pradeep Gupta (S San Francisco)     | Mayor Wayne Lee (Millbrae)                    |
| SAN MATEO               | ** Mayor Mary Ann Nihart (Pacifica)                  | Vice Mayor David Canepa (Daly City)           |
| SANTA CLARA             | * Mayor Greg Scharff (Palo Alto)                     | Mayor Chris Clark (Mountain View)             |
| SANTA CLARA             | * Councilmember Ronit Bryant (Mountain View)         | Vice Mayor Jim Davis (Sunnyvale)              |
| SOLANO                  | ** Mayor Jack Batchelor (Dixon)                      | Mayor Pete Sanchez (Suisun City)              |
| SONOMA                  | ** Councilmember Jake Mackenzie (Rohnert Park)       | To Be Appointed                               |
| CITY OF OAKLAND         | * Mayor Jean Quan                                    | Councilmember Lynnette Gibson McElhaney       |
| CITY OF OAKLAND         | * Councilmember Dan Kalb                             | Councilmember Patricia Kernighan              |
| CITY OF OAKLAND         | * Councilmember Desley Brooks                        | To Be Appointed                               |
| CITY OF SAN JOSE        | * Councilmember Sam Liccardo                         | Councilmember Rose Herrera                    |
| CITY OF SAN JOSE        | * Councilmember Kansen Chu                           | Councilmember Donald Rocha                    |
| CITY OF SAN JOSE        | * Councilmember Ash Kalra                            | Mayor Chuck Reed                              |

| Advisory Members | Representative    | Alternate   |
|------------------|-------------------|-------------|
| RWQCB            | William Kissinger | Terry Young |

\* Term of Appointment: July 1, 2014 - June 30, 2016

\*\* Term of Appointment: July 1, 2013 - June 30, 2015

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## Meeting Schedule 2014

Approved by the Executive Board, December 5, 2013

### General Assembly

Date: Thursday, April 17, 2014

Time: 8:00 AM to 2:00 PM

Location: Oakland Marriott City Center  
1001 Broadway  
Oakland, California

Contact: Brad Paul, Deputy Executive Director, (510) 464 7955,  
bradp@abag.ca.gov

### Executive Board

Dates: Thursday, January 16, 2014

Thursday, March 20, 2014

Thursday, May 15, 2014

Thursday, July 17, 2014

Thursday, September 18, 2014

Thursday, December 4, 2014—*First Thursday in December*

Time: 7:00 PM to 10:00 PM

Location: Joseph P. Bort MetroCenter, 101 8th Street, Auditorium, Oakland  
*Across from the Lake Merritt BART Station*

Contacts: Brad Paul, Deputy Executive Director, (510) 464 7933,  
bradp@abag.ca.gov

Fred Castro, Clerk of the Board, (510) 464 7913, fredc@abag.ca.gov

# Meeting Schedule 2014

## Administrative Committee

Dates: *Meetings Scheduled as Needed*

Contact: Brad Paul, Deputy Executive Director, (510) 464 7933,  
bradp@abag.ca.gov

## Legislation and Governmental Organization Committee

Dates: *See Executive Board Schedule*

Time: 3:30 PM to 5:00 PM

Location: ABAG Conference Room B

Contact: Kathleen Cha, Senior Communications Officer, (510) 464 7922,  
kathleenc@abag.ca.gov

## Finance and Personnel Committee

Dates: *See Executive Board Schedule*

Time: 5:00 PM to 6:00 PM

Location: ABAG Conference Room B

Contact: Herbert Pike, Finance Director, (510) 464 7902, herbertp@abag.ca.gov

## Regional Planning Committee

Dates: Wednesday, February 5, 2014

Wednesday, April 2, 2014

Wednesday, June 4, 2014

Wednesday, August 6, 2014

Wednesday, October 1, 2014

Wednesday, December 3, 2014

Time: 1:00 PM to 3:00 PM

Location: Joseph P. Bort MetroCenter, 101 8th Street, Auditorium, Oakland  
*Across from the Lake Merritt BART Station*

Contact: Miriam Chion, Planning and Research Director, (510) 464 7919,  
miriamc@abag.ca.gov

# ABAG CALENDAR (SEPTEMBER & OCTOBER 2014)

## ASSOCIATION OF BAY AREA GOVERNMENTS [ABAG]

Joseph P. Bort MetroCenter, 101 Eighth Street, Oakland, CA 94607-4756

ABAG: 510/464-7900 FAX: 510/464-798 E-mail: [info@abag.ca.gov](mailto:info@abag.ca.gov) URL: <http://www.abag.ca.gov>

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### SEPTEMBER

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#### JPC Agency Directors Meeting

9/1 @ 3:00 pm, MetroCenter, MTC Offices

#### Bay Trail Steering Committee

9/11 @ 1:30 pm, MetroCenter, ABAG Conference Room B

#### Bay Area Regional Prosperity Plan Steering Committee

9/12 @ 12 pm, MetroCenter, Auditorium

#### Legislation & Governmental Organization

9/18 @ 3:30 pm, MetroCenter, ABAG Conference Room B

#### Finance & Personnel Committee

9/18 @ 5:00 pm, MetroCenter, ABAG Conference Room B

#### EXECUTIVE BOARD

9/18 @ 7:00 pm, MetroCenter, Auditorium

#### ABAG / BAAQMD / MTC Joint Policy Committee

9/19 @ 10:00 am, MetroCenter, Auditorium

#### San Francisco Bay Restoration Authority Governing Board

9/24 @ 1:00 pm, MetroCenter, Room 171

#### Regional Airport Planning Committee (RAPC)

9/26 @ 9:30 am, MetroCenter, Auditorium

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### OCTOBER

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#### Regional Planning Committee (RPC)

10/1 @ 12:00 pm, MetroCenter, Auditorium

#### JPC Agency Directors Meeting

10/6 @ 3:00 pm, MetroCenter, MTC Offices

#### ABAG Power Executive Board Meeting

10/15 @ 12 Noon, MetroCenter, ABAG Conference Room B

#### LOMA PRIETA 25: Still on Shaky Ground-Building Bay Area Resilience

10/16 @ 9:00 am, Oakland Kaiser Center, 300 Lakeside Drive, Oakland

#### San Francisco Bay Restoration Authority Governing Board

10/22 @ 1:00 pm, MetroCenter, Room 171

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\*\* ABAG programs for which a fee is charged and pre-registration is required. To register or for further information, contact  
ABAG Receptionist at 510/464-7900.

For ABAG Training Center information contact **Chanell Gumbs** at 510/464-7964.